

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
ST9-99-097Total Pages in this Submission  
**102****TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

**ARCHITECTURE TO ENABLE SEARCH GATEWAYS AS PART OF FEDERATED SEARCH**

and invented by:

**Kehsing J. Chou, et al.**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

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Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 83 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
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Total Pages in this Submission  
**102**

**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal                      Number of Sheets \_\_\_\_\_
- b. ☒ Informal                      Number of Sheets 7
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*                      ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
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Applicants: Kehsing J. Chou, et al. Art Unit: Not Assigned  
Serial No.: Not Assigned Examiner: Not Assigned  
Filed: September 21, 1999  
For: ARCHITECTURE TO ENABLE SEARCH GATEWAYS AS PART OF  
FEDERATED SEARCH

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Dear Sir:

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UTILITY APPLICATION  
OF  
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Robert N. Summers, Siucheong K. Tse, Mimi P. Vo, and Alan T. Yaung  
FOR  
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on  
ARCHITECTURE TO ENABLE SEARCH GATEWAYS  
AS PART OF FEDERATED SEARCH

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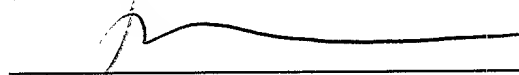
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ARCHITECTURE TO ENABLE SEARCH GATEWAYS  
AS PART OF FEDERATED SEARCH

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is related to the following co-pending and commonly-assigned patent  
5 applications:

Application No. --/--,---, entitled "MANAGING RESULTS OF FEDERATED  
SEARCHES ACROSS HETEROGENEOUS DATASTORES WITH A FEDERATED RESULT  
SET CURSOR OBJECT," filed on same date herewith, by Basuki N. Soertarman et al., attorney's  
docket number ST9-99-079;

10 Application No. --/--,---, entitled "THE ARCHITECTURE AND IMPLEMENTATION  
OF A DYNAMIC RMI SERVER CONFIGURATION HIERARCHY TO SUPPORT  
FEDERATED SEARCH AND UPDATE ACROSS HETEROGENEOUS DATASTORES," filed  
on same date herewith, by Kehsing J. Chou et al., attorney's docket number ST9-99-093;

15 Application No. --/--,---, entitled "THE DESIGN AND IMPLEMENTATION OF A  
CLIENT/SERVER FRAMEWORK FOR FEDERATED MULTI-SEARCH AND UPDATE  
ACROSS HETEROGENEOUS DATASTORES," filed on same date herewith, by Kehsing J.  
Chou et al., attorney's docket number ST9-99-094;

20 Application No. --/--,---, entitled "DELAYED DELIVERY OF QUERY RESULTS OR  
OTHER DATA FROM A FEDERATED SERVER TO A FEDERATED CLIENT UNTIL SUCH  
INFORMATION IS NEEDED," filed on same date herewith, by M. W. Huang et al., attorney's  
docket number ST9-99-123;

25 Application No. --/--,---, entitled "IMPROVED EXTENDED DATA OBJECT  
ARCHITECTURE FOR HANDLING MULTI-STREAMING AND COMPLEX MULTI-  
DIMENSIONAL FILES," filed on same date herewith, by Kehsing J. Chou et al., attorney's docket  
number ST9-99-139;

Application No. 08/852,062, entitled "CLASS HIERARCHY FOR OBJECT  
AGGREGATION REPRESENTATION OF RELATIONAL DATABASE ROWS WITH CELLS

HAVING NONTRADITIONAL DATATYPES," filed on May 6, 1997, by Daniel T. Chang et al., attorney's docket number ST9-97-032;

Application No. 08/852,055, entitled "FLEXIBLE OBJECT REPRESENTATION OF RELATIONAL DATABASE CELLS HAVING NONTRADITIONAL DATATYPES," filed on May 6, 1997, by Daniel T. Chang et al., attorney's docket number ST9-97-033;

Application No. 09/052,678, entitled "MANAGING RESULTS OF FEDERATED SEARCHES ACROSS HETEROGENEOUS DATASTORES WITH A FEDERATED COLLECTION OBJECT," filed on April 1, 1998, by Daniel T. Chang et al., attorney's docket number ST9-98-016;

Application No. 09/052,680, entitled "FEDERATED SEARCHING OF HETEROGENEOUS DATASTORES USING A FEDERATED DATASTORE OBJECT," filed on April 1, 1998, by Daniel T. Chang et al., attorney's docket number ST9-98-017; and

Application No. 09/052,679, entitled "FEDERATED SEARCHING OF HETEROGENEOUS DATASTORES USING A FEDERATED QUERY OBJECT," filed on April 1, 1998, by Daniel T. Chang et al., attorney's docket number ST9-98-018;

each of which is incorporated by reference herein.

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention.

This invention relates in general to database management systems performed by computers, and in particular, to providing an architecture to enable search gateways as part of a federated search.

### 2. Description of Related Art.

The present invention relates to a system and method for representing and searching multiple heterogeneous datastores and managing the results of such searches. Datastore is a term used to refer to a generic data storage facility, such as a relational data base, flat-file, hierarchical data base, etc. Heterogeneous is a term used to indicate that the datastores need not be similar to each other. For example, each datastore may store different types of data, such as image or text,

or each datastore may be based on a different theory of data model, such as Digital Library/VisualInfo or Domino Extended Search (DES).

For nearly half a century computers have been used by businesses to manage information such as numbers and text, mainly in the form of coded data. However, business data represents only a small part of the world's information. As storage, communication and information processing technologies advance, and as their costs come down, it becomes more feasible to digitize other various types of data, store large volumes of it, and be able to distribute it on demand to users at their place of business or home.

New digitization technologies have emerged in the last decade to digitize images, audio, and video, giving birth to a new type of digital multimedia information. These multimedia objects are quite different from the business data that computers managed in the past, and often require more advanced information management system infrastructures with new capabilities. Such systems are often called "digital libraries."

Bringing new digital technologies can do much more than just replace physical objects with their electronic representation. It enables instant access to information; supports fast, accurate, and powerful search mechanisms; provides, new "experiential" (i.e. virtual reality) user interfaces; and implements new ways of protecting the rights of information owners. These properties make digital library solutions even more attractive and acceptable not only to corporate IS organizations, but to the information owners, publishers and service providers.

Generally, business data is created by a business process (an airline ticket reservation, a deposit at the bank, and a claim processing at an insurance company are examples). Most of these processes have been automated by computers and produce business data in digital form (text and numbers). Therefore it is usually structured coded data. Multimedia data, on the contrary, cannot be fully pre-structured (its use is not fully predictable) because it is the result of the creation of a human being or the digitization of an object of the real world (x-rays, geophysical mapping, etc.) rather than a computer algorithm.

The average size of business data in digital form is relatively small. A banking record -- including a customers name, address, phone number, account number, balance, etc.--represents at most a few hundred characters, i.e. few hundreds/thousands of bits. The digitization of

multimedia information (image, audio, video) produces a large set of bits called an "object" or "blobs" (Binary Large Objects). For example, a digitized image of the parchments from the Vatican Library takes as much as the equivalent of 30 million characters (30 MB) to be stored. The digitization of a movie, even after compression, may take as much as the equivalent of several  
5 billions of characters (3-4 GB) to be stored.

Multimedia information is typically stored as much larger objects, ever increasing in quantity and therefore requiring special storage mechanisms. Classical business computer systems have not been designed to directly store such large objects. Specialized storage technologies may be required for certain types of information, e.g. media streamers for video or music. Because  
10 certain multimedia information needs to be preserved "forever" it also requires special storage management functions providing automated back-up and migration to new storage technologies as they become available and as old technologies become obsolete.

Finally, for performance reasons, the multimedia data is often placed in the proximity of the users with the system supporting multiple distributed object servers. This often requires a  
15 logical separation between applications, indices, and data to ensure independence from any changes in the location of the data.

The indexing of business data is often imbedded into the data itself. When the automated business process stores a person's name in the column "NAME," it actually indexes that information. Multimedia information objects usually do not contain indexing information. This  
20 "meta data" needs to be created in addition by developers or librarians. The indexing information for multimedia information is often kept in "business like" databases separated from the physical object.

In a Digital Library (DL), the multimedia object can be linked with the associated indexing information, since both are available in digital form. Integration of this legacy catalog information  
25 with the digitized object is crucial and is one of the great advantages of DL technology. Different types of objects can be categorized differently as appropriate for each object type. Existing standards like MARC records for libraries, Finding Aids for archiving of special collections, etc... can be used when appropriate.



The indexing information used for catalog searches in physical libraries is mostly what one can read on the covers of the books: authors name, title, publisher, ISBN,... enriched by other information created by librarians based on the content of the books (abstracts, subjects, keywords,...). In digital libraries, the entire content of books, images, music, films, etc.. are available and "new content" technologies are needed; technologies for full text searching, image content searching (searching based on color, texture, shape, etc...), video content searching, and audio content searching. The integrated combination of catalog searches (e.g. SQL) with content searches will provide more powerful search and access functions. These technologies can also be used to partially automate further indexing, classification, and abstracting of objects based on content.

To harness the massive amounts of information spread throughout these networks, it has become necessary for a user to search numerous storage facilities at the same time without having to consider the particular implementation of each storage facility.

Object-oriented approaches are generally better suited for such complex data management. The term "object-oriented" refers to a software design method which uses "classes" and "objects" to model abstract or real objects. An "object" is the main building block of object-oriented programming, and is a programming unit which has both data and functionality (i.e., "methods"). A "class" defines the implementation of a particular kind of object, the variables and methods it uses, and the parent class it belongs to.

Some known programming tools that can be used for developing search and result-management frameworks include IBM VisualAge C++, Microsoft Visual C++, Microsoft Visual J++, and Java.

There is a need in the art for an improved federated system. In particular, there is a need in the art for an architecture to enable search gateways as part of a federated search.

## SUMMARY\ OF THE INVENTION

To overcome the limitations in the prior art described above, and to overcome other limitations that will become apparent upon reading and understanding the present specification,

the present invention discloses a method, apparatus, and article of manufacture for an architecture to enable search gateways as part of a federated search.

According to an embodiment of the invention, an architecture to enable search gateways as part of a federated search supports searching for data in one or more heterogeneous data sources. The one or more heterogeneous data sources are within a computer system. Initially, a request for data is received at a federated data source. From the federated data source, data is retrieved from one or more of one or more terminal data repositories or one or more search gateway data sources.

### BRIEF DESCRIPTION OF THE DRAWINGS

Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

FIG. 1 is a diagram illustrating a computer architecture that could be used in accordance with the present invention;

FIG. 2 is a diagram illustrating a class hierarchy for Data Object classes;

FIG. 3 is a diagram illustrating a class hierarchy for Datastore classes;

FIG. 4 is a diagram illustrating one composition of a federated datastore;

FIG. 5 is a diagram of an extended Grand Portal architecture;

FIG. 6 is a diagram illustrating individual datastores and federated compositions; and

FIG. 7 is a flow diagram illustrating one use of the search gateway architecture.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

In the following description of the preferred embodiment, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration a specific embodiment in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural and functional changes may be made without departing from the scope of the present invention.

## Federated Architecture

FIG. 1 is a diagram illustrating a computer architecture that could be used in accordance with the present invention. The present invention is described herein by way of example and is not intended to be limited to the described embodiment. The description of the preferred embodiment is based on, but certainly not limited to, the IBM design of Java Grand Portal Class Library, the Digital Library Java Application Programming Interface (API).

The Java Grand Portal 120 is comprised of client and server classes. In particular, Java Grand Portal is a set of Java classes which provides access and manipulation of local or remote data stored in Digital Library storage facilities. It uses Java APIs based on OMG-Object Query Services (OQS) and a Dynamic Data Object protocol, which is a part of OMG/Persistence Object Services.

The Java APIs provide multi-search capabilities such as:

1. Searching within a given datastore using one or a combination of supported query types, i.e.

Parametric query - Queries requiring an exact match on the condition specified in the query predicate and the data values stored in the datastore.

Text query - Queries on the content of text fields for approximate match with the given text search expression, e.g. the existence (or non-existence) of certain phrases or word-stems.

Image query - Queries on the content of image fields for approximate match with the given image search expression, e.g. image with certain degree of similarity based on color percentages, layout, or texture.

2. Each search type is supported by one or more search-engines.

3. Searching on the results of a previous search.
4. Searching involving heterogeneous datastores.

The Digital Library Grand Portal classes provide a convenient API for Java application users; the applications can be located at local or remote sites. Java classes will typically reside on both server and client sides; both sides providing the same interface. The client side of Java classes communicates with the server side to access data in the Digital Library through the network. Communication between client and server sides is done by these classes; it is not necessary to add any additional programs.

In particular, FIG 1 is an architectural diagram outlining the structure of the federated search for Digital Library repositories using the federated datastore 100, comprised of a federated datastore client and server. A federated datastore 100 is a virtual datastore which combines several heterogeneous datastores 102 into a consistent and unified conceptual view. This view, or a federated schema, is established via schema mapping 104 of the underlying datastores. The users interact with a federated datastore 100 using the federated schema, without needing to know about the individual datastores 102 which participate in the federated datastore 100.

One embodiment of the invention provides an architecture to enable search gateways as part of a federated search. In one embodiment of the invention, one or more classes implement the architecture to enable search gateways as part of a federated search, and one or more methods are provided to support the architecture. In one embodiment, the class definitions and methods reside at the federated datastore client and server.

The federated datastore 100 does not have a corresponding back-end client. Since it is a virtual datastore, the federated datastore 100 relies on the underlying physical back-end client associated with it, such as the DL client (i.e., Digital Library client), OnDemand, VisualInfo, DB2, etc. Digital Library, OnDemand, VisualInfo, and DB2 are all products from International Business Machines Corporation. As mentioned before, this association is established by a schema mapping component 104.

The communication between the federated datastore 100 client and server can be done by any appropriate protocol. On top of Java Grand Portal client classes, the users can develop application programs using, for example, any existing Java Beans 122 development environment.

5 The federated datastore 100 coordinates query evaluation, data-access, and transaction processing of the participating heterogeneous datastores 102. Given the federated schema, a multi-search query can be formulated, executed, and coordinated to produce results in the form of a datastore-neutral dynamic data object.

Note that each heterogeneous datastore and the federated datastore are created using one datastore definition or superclass. The federated datastore 100 and the heterogeneous datastores 10 102 are all subclasses of a class called Datastore, therefore, all of these datastores 100 and 102 have the same interface. Therefore, a user would be able to access the federated datastore 100 and the heterogeneous datastores 102 in a consistent and uniform manner.

Additionally, the objects stored in the federated datastore 100 and the heterogeneous datastores 102 are subclasses of a Data Object class. The Data Object class includes subclasses 15 for dynamic data objects (DDOs) and extended data objects (XDOs). A DDO has attributes, with type, value, and properties. The value of an attribute can be a reference to another DDO or XDO, or a collection of DDOs or XDOs.

FIG. 2 is a diagram illustrating a class hierarchy for Data Object classes. The objects stored in and manipulated by the datastores and fetch operations belong to data object classes. 20 These objects are returned as the result of a fetch, or created and used in CRUD (add, retrieve, update, delete) operations.

A DataObjectBase 200 is an abstract base class for all data objects known by datastores. It has a protocol attribute, that indicates to the datastore which interface can be used to operate on this object. A XDOBase 210 is the base class used to represent user-defined-types (UDT) or large 25 objects. In particular, the XDOBase 210 is the base class for some user-defined types 212 and XDOs 214. A XDO 214 represents complex UDTs or large objects (LOB). This object can exist stand-alone or as a part of a DDO 236. Therefore, it has a persistent object identifier and CRUD operations capabilities.

Blob 216 is a base class for BLOBs as a placeholder to share all generic operations pertaining to BLOBs. Clob 218 is a base class for CLOBs (Character Large Objects) as a placeholder to share all generic operations pertaining to CLOBs. DBClob 220 is a base class for DBCLOBs (database character large object) as a placeholder to share all generic operations pertaining to DBCLOBs. BlobDB2 222 represents a BLOB specific to DB2, and BlobDL 22 represents a BLOB specific to DL. Similarly, though not shown, there may be subclasses for ClobDB2, ClobDL, etc.

A DataObject 230 is a base class for PersistentObject 232 and DDOBase 234. A PersistentObject 232 represents a specific object whose code is statically generated and compiled. This type of object will not be covered in this document. A DDOBase 234 is a base class for a dynamic data object 236 (without the CRUD methods). A DDO (Dynamic Data Object) 236 represents generic data objects which are constructed dynamically at runtime. This object fits well with query and browsing activities in Grand Portal where objects are only known and generated at runtime. It supports the CRUD operations (add, retrieve, update, and delete), and, with the help of its associated datastore, a DDO can put itself into and out of the datastore.

One skilled in the art would recognize that these are only example classes and subclasses and other structures maybe used for objects and other classes or subclasses may be added to or removed from the tree shown in FIG. 2.

With respect to the notion of "federation", each participating datastore preserves the right to maintain its "personality", i.e. its own query language, data-model or schema, method of interaction, etc, and at the same time cooperating in a federation to provide a federated schema. This design allows the users to preserve the natural view to their favorite datastore as well as access them in conjunction with other datastores in a federated context.

The federated datastore 100 can combine the participating native datastores in two ways:

*With mapping.* As described above, mapping of concepts across participating datastores is established to provide a unified conceptual view. Based on this federated schema, federated queries with both join and union expressions can be formulated.

*Without mapping.* In this case, the federated datastore 100 only reflects the union of each participating datastore's conceptual view. Although it coordinates query processing and data-access for each underlying datastore, the federated datastore 100 must accept queries in each datastore's native language since the query translation process can not be performed without mapping. In addition, since there is no conceptual mapping between datastores, the FederatedQuery 19 results can only reflect the union of results from each datastore.

The embodiment of the invention is incorporated into one or more software programs that reside at the federated datastore 100. Generally, the software programs and the instructions derived therefrom, are all tangibly embodied in a computer-readable medium, e.g. one or more of the data storage devices, which may be connected to the federated datastore 100. Moreover, the software programs and the instructions derived therefrom, are all comprised of instructions which, when read and executed by the computer system 100, causes the computer system 100 to perform the steps necessary to implement and/or use the present invention. Under control of an operating system, the software programs and the instructions derived therefrom, may be loaded from the data storage devices into a memory of the federated datatstore 100 for use during actual operations.

Thus, the present invention may be implemented as a method, apparatus, or article of manufacture using standard programming and/or engineering techniques to produce software, firmware, hardware, or any combination thereof. The term "article of manufacture" (or alternatively, "computer program product") as used herein is intended to encompass a computer program accessible from any computer-readable device, carrier, or media. Of course, those skilled in the art will recognize many modifications may be made to this configuration without departing from the scope of the present invention.

Those skilled in the art will recognize that the exemplary environment illustrated in FIG. 1 is not intended to limit the present invention. Indeed, those skilled in the art will recognize that other alternative hardware environments may be used without departing from the scope of the present invention.

### Federated Datastore

FIG. 3 is a diagram illustrating a class hierarchy for Datastore classes. A main datastore class 300 is an abstract base class (i.e., superclass) for all datastores. In particular, some datastore classes that are based on the datastore class 300 and inherit its characteristics are the following: a DL  
5 Datastore class 302, a VisualInfo Datastore class 304, a Federated Datastore class 306, and an OnDemand Datastore class 308. It is to be understood that the techniques of the invention may be applied to any data source and is not limited to the mentioned datastores.

FIG. 4 is a diagram illustrating one composition of a federated datastore. The federated  
10 datastore 400 connects to heterogeneous datastores 402, 404, 406, and 408. As illustrated, a federated datastore 406 may connect to and be nested under federated datastore 400. Additionally, the federated datastore 406 may connect to heterogeneous datastores 410, 412, and 414. The depicted architecture is only a sample, and one skilled in the art would recognize that other examples fall within the scope of the invention.

In the preferred embodiment, the federated datastore 100 takes query strings expressed in a  
15 federated query language. An example class definition for DatastoreFederated 100 is set forth below.

#### DKDatastoreFed.java

```
package com.ibm.mm.sdk.server;

public class DKDatastoreFed extends dkAbstractDataStore
    implements DKConstantFed,
20         DKConstant,
        DKMessageIdFed,
        DKMessageId,
        dkFederation,
        java.io.Serializable
25     {
    public dkCollection listEntities() throws DKException, Exception
    public String[] listEntityNames() throws DKException, Exception
    public String[] listTextEntityNames() throws DKException, Exception
    public String[] listParmEntityNames() throws DKException, Exception
30     public dkCollection listEntityAttrs(String entityName) throws DKException, Exception
    public String[] listEntityAttrNames(String entityName) throws DKException, Exception
    public String registerMapping(DKNVPair sourceMap) throws DKException, Exception
    public void unRegisterMapping(String mappingName) throws DKException, Exception
```



```

public String[] listMappingNames() throws DKException, Exception
public dkSchemaMapping getMapping(String mappingName) throws DKException,
    Exception
public synchronized dkExtension getExtension(String extensionName) throws
5    DKException, Exception
public synchronized void addExtension(String extensionName,
    dkExtension extensionObj) throws DKException, Exception
public synchronized void removeExtension(String extensionName) throws
    DKException, Exception
10 public synchronized String[] listExtensionNames() throws DKException, Exception
public DKDDO createDDO(String objectType,
    int Flags) throws DKException, Exception
public dkCollection listSearchTemplates() throws DKException, Exception
public String[] listSearchTemplateName() throws DKException, Exception
15 public dkSearchTemplate getSearchTemplate(String templateName) throws
    DKException, Exception
public void destroy() throws DKException, Exception
public synchronized string addRemoveCursor (dkResultSetCursor iCurt int action)
    throws DKException, Exception
20 public dkDatastore datastoreByServerName (String dsType, String dsName)
    throws DKException, Exception
public void changePassword (String serverName,
    String user Id,
    String oldPwd,
25    String newPwd)
    throws DKException, Exception
public void requestConnection (String serverName,
    String userId,
    String passwd,
30    String connectString)
    throws DKException, Exception
public void excludeServer (String serverName, String templateName)
    throws DKException, Exception
public boolean isServerExcluded (String serverName, String templateName)
    throws DKException, Exception, java.rmi.RemoteException
35 public String[] listExcludedServers(String templateName) throws DKException,
    Exception
public void clearExcludedServers(String templateName) throws DKException,
    Exception
40 };

```

The following methods are part of the federated datastore class:

*public DKDatastoreFed() throws DKException, Exception*

Constructs default Federated Datastore.

*public DKDatastoreFed(String configuration) throws DKException, Exception*

Constructs default Federated Datastore.

5

*public void connect(String datastore\_name,  
String user\_name,  
String authentication,  
String connect\_string) throws DKException, Exception*

10

Establishes a connection to a federated datastore.

Parameters:

datastore\_name - federated datastore name

user\_name - userid to logon to this federated datastore

authentication - password for this user\_name

15

connect\_string - additional information string

Throws: DKException

if either:

datastore\_name, user\_name, or authentication is null

or if error occurs in the federated datastore

20

Overrides:

connect in class dkAbstractDatastore

*public void disconnect() throws DKException, Exception*

Disconnects from the federated datastore.

Throws: `DKException`

if unable to disconnect from server.

Overrides:

5                      `disconnect` in class `dkAbstractDatastore`

*`public Object getOption(int option) throws DKException`*

Gets defined datastore option

Parameters:

option - an option id

10                      Returns:

the value for the given option

Throws: `DKException`

if option is not set

Overrides:

15                      `getOption` in class `dkAbstractDatastore`

*`public void setOption(int option, Object value) throws DKException`*

Sets the given "option" with a specific "value".

Parameters:

20                      option - an option id

value - the value for the "option"

Throws: `DKException`

if option/value is invalid

Overrides:

setOption in class dkAbstractDatastore

```
public Object evaluate(String command,  
                        short commandLangType,  
5      DKNVPair params[]) throws DKException, Exception
```

Evaluates a query and returns the result as a dkQueryableCollection object.

Parameters:

command - a query sting that represent the query criteria

commandLangType - a query language type, for Federated, it will be

10 DK\_FEDERATED\_QL\_TYPE

params - a name/value pairs list

Returns:

a query result collection

Throws: DKException

15 if "command" argument is null

Overrides:

evaluate in class dkAbstractDatastore

```
public Object evaluate(dkQuery query) throws DKException, Exception
```

Evaluates a query and returns the result as a dkQueryableCollection.

20

Parameters:

query - a given query object

Returns:

a query result collection

Throws: *DKException*

if the "query" input is null or not of federated query type.

Overrides:

5                   evaluate in class *dkAbstractDatastore*

*public Object evaluate(DKCQExpr qe) throws DKException, Exception*

Evaluates a query.

Parameters:

qe - a common query expression object

10                  Returns:

a collection of the results

Throws: *DKException*

if common query expression object is invalid

Overrides:

15                   evaluate in class *dkAbstractDatastore*

*public dkResultSetCursor execute(String command,  
                                  short commandLangType,  
                                  DKNVPair params[]) throws DKException, Exception*

Executes a command query of the federated datastore and returns a result set cursor.

20                  Parameters:

command - a query string that represents the query criteria.

commandLangType - a query language type, for Federated, it will be  
                  DK\_FEDERATED\_QL\_TYPE.

params[] - a name/value pairs list.

Returns:

a dkResultSetCursor object.

Throws: DKException

5 if "command" is null or invalid, or "commandLangType" is not Federated  
Query type.

Overrides:

execute in class dkAbstractDatastore

*public dkResultSetCursor execute(dkQuery query) throws DKException, Exception*

10

Executes a command query of the federated datastore and returns a result set cursor. This method takes a Federated query object as an argument.

Parameters:

query - a federated dkQuery object

15

Returns:

a dkResultSetCursor object

Throws: DKException

if "query" object is null or query.qType() is not

DK\_FEDERATED\_QL\_TYPE

20

Overrides:

execute in class dkAbstractDatastore

*public dkResultSetCursor execute(DKCQExpr cqe) throws DKException, Exception*

Executes a query expression.

25

Parameters:

cqe - a common query expression object

Returns:

resultSetCursor which represents a federated datastore cursor.

Throws: DKException

5 if "cqe" object is invalid

Overrides:

execute in class dkAbstractDatastore

*public void executeWithCallback(dkQuery query,  
dkCallback callbackObj) throws DKException, Exception*

10 Executes a query with callback function.

Parameters:

query - a query object

callbackObj - a dkCallback object

Overrides:

15 executeWithCallback in class dkAbstractDatastore

*public void executeWithCallback(String command,  
short commandLangType,  
DKNVPair params[],  
dkCallback callbackObj) throws DKException, Exception*

20 Execute the query with callback function.

Parameters:

command - a query string

commandLang - a query type

params - additional query option in name/value pair  
callbackObj - a dkCallback object

Overrides:

executeWithCallback in class dkAbstractDatastore

```
5  public void executeWithCallback(DKCQExpr cqe,  
    dkCallback callbackObj) throws DKException, Exception
```

Execute a query expression with callback function.

Parameters:

cqe - a common query expression object  
callbackObj - a dkCallback object

Overrides:

executeWithCallback in class dkAbstractDatastore

```
10  
  
15  public dkQuery createQuery(String command,  
    short commandLangType,  
    DKNVPair params[]) throws DKException
```

Creates a federated query object.

Parameters:

command - a query string that represents the query criteria  
commandLangType - a query language type, it will be one of the  
following:

DK\_CM\_TEMPLATE\_QL\_TYPE  
DK\_CM\_TEXT\_QL\_TYPE  
DK\_CM\_IMAGE\_QL\_TYPE



DK\_CM\_PARAMETRIC\_QL\_TYPE

DK\_CM\_COMBINED\_QL\_TYPE

params[] - a name/value pairs list

Returns:

5 a federated dkQuery object

Throws: DKException

if "command" is null

Overrides:

createQuery in class dkAbstractDatastore

10 *public dkQuery createQuery(DKCQExpr qe) throws DKException*

Creates a query object.

Parameters:

cqe - a common query expression object

15 Throws: DKException

if "cqe" object is invalid

Overrides:

createQuery in class dkAbstractDatastore

*public dkCollection listDataSources() throws DKException*

20 List the available datastore sources that a user can connect to.

Returns:

a collection of ServerDef objects describing the servers

Throws: DKException

if internal error occurs from server

25 Overrides:

listDataSources in class dkAbstractDatastore

*public String[] listDataSourceNames() throws DKException*

Gets a list of datasource names.

Returns:

5                      an array of datasource names

Throws: DKException

if error occurs when retrieving datasource names

Overrides:

listDataSourceNames in class dkAbstractDatastore

10    *public void addObject(dkDataObject dataobj) throws DKException, Exception*

Adds a DDO object.

Parameters:

ddo - a Federated object to be added.

Throws: DKException

15                      if error occurs during add.

Overrides:

addObject in class dkAbstractDatastore

*public void deleteObject(dkDataObject dataobj) throws DKException, Exception*

Deletes a data object.

20                      Parameters:

ddo - a federated DDO object to be deleted

Throws: *DKException*

if error occurs during delete.

Overrides:

5 deleteObject in class *dkAbstractDatastore*

*public void retrieveObject(dkDataObject dataobj) throws DKException, Exception*

Retrieves a data-object.

Parameters:

10 ddo - document object to be retrieved.

Throws: *DKException*

when retrieve failed.

Overrides:

retrieveObject in class *dkAbstractDatastore*

15 *public void updateObject(dkDataObject dataobj) throws DKException, Exception*

Updates a data-object.

Parameters:

ddo - the data-object to be updated.

Throws: *DKException*

20 if error occurs in the datastore

Overrides:

updateObject in class *dkAbstractDatastore*

*public void commit() throws DKException*

Commits all activities since the last commit.

Throws: DKException

is thrown since federated datastore does not support transaction scope for now.

5

Overrides:

commit in class dkAbstractDatastore

*public void rollback() throws DKException*

Rolls back all activities since the last commit.

Throws: DKException

10

is thrown since Federated does not support transaction scope for now.

Overrides:

rollback in class dkAbstractDatastore

*public boolean isConnected()*

Checks to see if the datastore is connected

15

Returns:

true if connected, false otherwise

Overrides:

isConnected in class dkAbstractDatastore

*public DKHandle connection() throws Exception*

20

Gets the connection handle for the datastore.

Returns:

the connection handle

Overrides:

connection in class dkAbstractDatastore

5 *public DKHandle handle(String type) throws Exception*

Gets a datastore handle.

Parameters:

type - type of datastore handle wanted

Returns:

10 a datastore handle

Overrides:

handle in class dkAbstractDatastore

*public String userName()*

Gets the user name that user used to logon to the datastore.

15 Returns:

the userid that user used to logon

Overrides:

userName in class dkAbstractDatastore

*public String datastoreName() throws Exception*

20 Gets the name of this datastore object. Usually it represents a datastore source's server name.

Returns:

datastore name

Overrides:

datastoreName in class dkAbstractDatastore

5 *public String datastoreType() throws Exception*

Gets the datastore type for this datastore object.

Returns:

datastore type

Overrides:

10 datastoreType in class dkAbstractDatastore

*public dkDatastoreDef datastoreDef() throws DKException, Exception*

Gets datastore definition.

Returns:

the meta-data (dkDatastoreDef) of this datastore

15 Overrides:

datastoreDef in class dkAbstractDatastore

*public dkCollection listEntities() throws DKException, Exception*

Gets a list of federated entities from Federated server.

20 Returns:

a collection of dkEntityDef

Throws: DKException

if error occurs

Overrides:

listEntities in class dkAbstractDatastore

5 *public String[] listEntityNames() throws DKException, Exception*

Gets a list of federated entities names from Federated server.

Returns:

an array of names

Throws: DKException

if error occurs

Overrides:

listEntityNames in class dkAbstractDatastore

*public String[] listTextEntityNames() throws DKException, Exception*

Gets a list of federated text search entities names from Federated server.

Returns:

an array of names

Throws: DKException

if error occurs

*public String[] listParmEntityNames() throws DKException, Exception*

20 Gets a list of federated parametric search entities names from Federated server.

Returns:

an array of names

Throws: *DKException*

if error occurs

Overrides:

5                    *listEntityAttrs*

*public dkCollection listEntityAttrs(String entityName) throws DKException, Exception*

Gets a list of attributes for a given entity name.

Parameters:

entityName - name of entity to retrieve attributes for

10                  Returns:

a dkCollection of dkAttrDef objects

Throws: *DKException*

if the entity name does not exist

Overrides:

15                    *listEntityAttrs* in class *dkAbstractDatastore*

*public String[] listEntityAttrNames(String entityName) throws DKException, Exception*

Gets a list of attribute names for a given entity name.

Parameters:

entityName - name of entity to retrieve attribute names for

20                  Returns:

an array of attribute names

Throws: *DKException*

if the entity name does not exist

Overrides:



listEntityAttrNames in class dkAbstractDatastore

*public String registerMapping(DKNVPair sourceMap) throws DKException, Exception*

Registers a mapping definition to this datastore. Mapping is done by entities.

5 Parameters:

sourceMap - source name and mapping, a DKNVPair class with the following possible values:

("BUFFER", ) : buffer\_ref is a reference to a string in memory

("FILE", ) : file\_name is the name of the file containing the mapping

("URL", ) : URL-address location of the mapping

("LDAP", ) : LDAP file-name

("SCHEMA", ) : a reference to a dkSchemaMapping object

defining the

15 mapping. Currently, only "SCHEMA" option is supported, others may be added later.

Returns:

the name of the mapping definition.

20 Overrides:

registerMapping in class dkAbstractDatastore

See Also:

unRegisterMapping

*public void unRegisterMapping(String mappingName) throws DKException, Exception*

25 Unregisters mapping information from this datastore.

Parameters:

mappingName - name of the mapping information

Overrides:

unRegisterMapping in class dkAbstractDatastore

5

See Also:

registerMapping

*public String[] listMappingNames() throws DKException, Exception*

Gets the list of the registered mappings for this datastore.

Returns:

10

an array of registered mapping objects' names. The array length would be zero if there is no mapping registered.

Overrides:

listMappingNames in class dkAbstractDatastore

See Also:

15

registerMapping

*public dkSchemaMapping getMapping(String mappingName) throws DKException, Exception*

Gets mapping information from this datastore.

Parameters:

mappingName - name of the mapping information

20

Returns:

the schema mapping object

Overrides:

getMapping in class dkAbstractDatastore

See Also:

## registerMapping

*public synchronized dkExtension getExtension(String extensionName) throws DKException, Exception*

Gets the extension object from a given extension name.

5 Parameters:

extensionName - name of the extension object.

Returns:

extension object.

Overrides:

10 getExtension in class dkAbstractDatastore

*public synchronized void addExtension(String extensionName, dkExtension extensionObj) throws DKException, Exception*

Adds a new extension object.

Parameters:

15 extensionName - name of new extension object

extensionObj - the extension object to be set

Overrides:

addExtension in class dkAbstractDatastore

*public synchronized void removeExtension(String extensionName) throws DKException, Exception*

20

Removes an existing extension object.

Parameters:

extensionName - name of extension object to be removed

Overrides:

removeExtension in class dkAbstractDatastore

5 *public synchronized String[] listExtensionNames() throws DKException, Exception*

Gets the list of extension objects' names.

Returns:

an array of extension objects' names

Overrides:

10 listExtensionNames in class dkAbstractDatastore

*public DKDDO createDDO(String objectType,  
int Flags) throws DKException, Exception*

Creates a new DDO with object type, properties and attributes set for a given back-end server.

15 Parameters:

objectType - the object type

Flags - to indicate various options and to specify more detailed characteristics of the DDO to create. For example, it may be a directive to create a document DDO, a folder, etc.

20 Returns:

a new DDO of the given object type with all the properties and attributes set, so that the user only needs to set the attribute values

Overrides:

createDDO in class dkAbstractDatastore

*public dkCollection listSearchTemplates() throws DKException, Exception*

Gets a list search templates from a federated server.

5 Returns:

a DKSequentialCollection of search templates

Throws: DKException

if internal datastore error occurs

*public String[] listSearchTemplateName() throws DKException, Exception*

10 Gets a list search templates' names from a federated server.

Returns:

an array of search template names

Throws: DKException

if internal datastore error occurs

15 *public dkSearchTemplate getSearchTemplate(String templateName) throws DKException, Exception*

Gets a search template information from a given template name.

Returns:

dkSearchTemplate object.

Throws: *DKException*

if internal datastore error occurs

*public void destroy() throws DKException, Exception*

datastore destroy - datastore cleanup if needed

5 Overrides:

destroy in class *dkAbstractDatastore*

*public synchronized string addRemoveCursor (dkResultSetCursor iCurt int action)  
throws DKException, Exception*

*public dkDatastore datastoreByServerName (String dsType, String dsName)*

10 *throws DKException, Exception*

Gets a reference to the specified datastore. The datastore must be connected, otherwise it will return null even if one is found. First, it will look in the free connection pool. If none found, it will look under the connection pool held by active cursors.

*public void changePassword (String serverName,*

15 *String user Id,  
String oldPwd,  
String newPwd)*

*throws DKException, Exception*

Changes the password of a given user Id for a specified server. Administrator only  
20 function.

Parameters:

userId - the user-id  
oldPwd - the old password  
newPwd -the new password

5    *public void requestConnection (String serverName,  
                                  String userId,  
                                  String passwd,  
                                  String connectString)  
          throws DKException, Exception*

10        Requests a connection to a particular server with the given userid, password &  
connectString.

Parameters:

15                userId     -the user Id  
                  passwd    -the password  
                  connectString - the connect string to logon

*public void excludeServer (Sting serverName, String templateName)  
          throws DKException, Exception*

Requests the named server to be skipped for the named search template.

20        Parameters:  
                  serverName                - a back end server name  
                  templateName - a search template name

*public boolean isServerExcluded (String serverName, String templateName)*

*throws DKException, Exception, java.rmi.RemoteException*

Checks if the given server is in the excluded list for the named search template.

Parameters:

5                    serverName            - a back end server name  
                    templateName - a search template name

Returns:

true or false

*public String[] listExcludedServers(String templateName) throws DKException, Exception*

Lists all the excluded servers for the named search template

10                   Parameters:

s - templateName - a search template name

Returns:

an array of server names that were excluded during search

*public void clearExcludedServers(String templateName) throws DKException, Exception*

15                   Clears all the excluded servers for the named search template

Parameters:

s - templateName - a search template name

20                   The following is sample syntax of a federated query string. However, it is to be understood  
that other syntax, including other parameters, may be used for the federated query string without  
departing from the scope of the invention.



```

PARAMETRIC_SEARCH=([ENTITY=entity_name,]
                    [MAX_RESULTS=maximum_results,]
                    [COND=(conditional_expression)]
                    [; ...]
5                );
                [OPTION=([CONTENT=yes_no]
                        )]
[and_or

TEXT_SEARCH=(COND=(text_search_expression)
10        );
        [OPTION=([SEARCH_INDEX={search_index_name | (index_list) };]
                [MAX_RESULTS=maximum_results;]
                [TIME_LIMIT=time_limit]
                )]
15    ]

[and_or

IMAGE_SEARCH=(COND=(image_search_expression)
                );
        [OPTION=([SEARCH_INDEX={search_index_name | (index_list) };]
20        [MAX_RESULTS=maximum_results;]
        [TIME_LIMIT=time_limit]
        )]

    ]

```

25 There are several mechanisms for users to submit federated queries for execution. For example, users can create a federated query string and pass it to a federated query object and then invoke an execute or evaluate method on that object to trigger the query processing. Alternatively, a user can pass the federated query string to the execute or evaluate method in the federated datastore to process the query directly. The query string will be parsed into a federated query canonical form (query expression), which is essentially a datastore neutral representation of the query. In case the
 30 input query comes from a graphical user interface (GUI) based application, the query does not need to be parsed and the corresponding canonical form can be directly constructed.

The query canonical form is the input for the federated query processor module. This module will perform the following tasks:

*Query translation.* Translates the query canonical form into several native queries that corresponds to each native datastore associated to this federated datastore . The translation information is obtained from the schema mapping.

5        *Data conversion.* Converts data in the query into a native data type for each of the associated native datastores. This process uses the mapping and conversion mechanisms described in the schema mapping.

*Data filtering.* Filters only the relevant data during the construction of native queries.

Each native query is submitted to the corresponding native datastore for execution. Initially, the results returned are cursors to the data in each datastore.

10        The end-result of an initial query is a federated result set cursor object, which is a virtual collection (i.e., at this time, data has not actually been retrieved) of cursors to objects in each of the native datastores.

15        The user can retrieve the actual data using a fetch. When a fetch is issued for data, the data is returned by the native datastores to the federated query results processor module, which will do the following:

*Data conversion.* Converts data from the native type into a federated type according to the mapping information.

*Data filtering.* Filters the results to include only the requested data.

*Result merging.* Merges the results from several native datastores into a federated collection.

20        The federated result set cursor object provides the facility to separate query results according to the source native datastores. To do such a processing, the user/application may either

use the federated cursor to fetch data or a native datastore cursor to fetch data from a particular datastore.

- A FederatedQuery represents and executes queries across heterogeneous datastores. This query can be a combination of a DL parametric query, OnDemand query, and other query types involving supported datastores. To retrieve data from each datastore, the federated datastore delegates the query processing task to each of the native datastores.

DKFederatedQuery.java

```
package com.ibm.mm.sdk.common.DKFederatedQuery
```

```
public class DKFederatedQuery
```

```
10     extends Object
```

```
    implements dkQuery, DKConstant, DKMessageId, Serializable
```

```
{
```

```
    public DKFederatedQuery(dkDatastore creator,  
        String queryString)
```

```
15     public DKFederatedQuery(dkDatastore creator,
```

```
        public DKFederatedQuery(DKFederatedQuery fromQuery)
```

```
        public void prepare(DKNVPair params[]) throws DKException, Exception
```

```
        public void execute(DKNVPair params[]) throws DKException, Exception
```

```
        public int status()
```

```
20     public Object result() throws DKException, Exception
```

```
        public dkResultSetCursor resultSetCursor() throws DKException, Exception
```

```
        public short qlType()
```

```
        public String queryString()
```

```
        public dkDatastore getDatastore()
```

```
25     public void setDatastore(dkDatastore ds) throws DKException, Exception
```

```
        public String getName()
```

```

public void setName(String name)
public int numberOfResults()
};

```

5           The following methods are part of the federated query class:

```

public DKFederatedQuery(dkDatastore creator,
String queryString)

```

Constructs a Federated query.

Parameters:

10           creator - datastore  
              queryString - a query string

```

public DKFederatedQuery(dkDatastore creator,
DKCQExpr queryExpr)

```

Constructs a Federated query

Parameters:

15           creator - datastore  
              queryExpr - a query expression

```

public DKFederatedQuery(DKFederatedQuery fromQuery)

```

Constructs a Federated query from a Federated query object.

Parameters:

20

fromQuery - Federated query

*public void prepare(DKNVPair params[]) throws DKException, Exception*

Prepares a query.

5 Parameters:

params - additional prepare query option in name/value pair

*public void execute(DKNVPair params[]) throws DKException, Exception*

Executes a query.

Parameters:

10 params - additional query option in name/value pair

*public int status()*

Gets query status.

Returns:

query status

15 *public Object result() throws DKException, Exception*

Gets query result.

Returns:

query result in a DKResults object

*public dkResultSetCursor resultSetCursor() throws DKException, Exception*

Gets query result.

Returns:

query result in a dkResultSetCursor object

5    *public short qlType()*

Gets query type.

Returns:

query type

*public String queryString()*

10    Gets query string

Returns:

query string

*public dkDatastore getDatastore()*

Gets the reference to the owner datastore object.

15    Returns:  
the dkDatastore object

*public void setDatastore(dkDatastore ds) throws DKException, Exception*

Sets the reference to the owner datastore object.

Parameters:

ds - a datastore

*public String getName()*

5 Gets query name.

Returns:

name of this query

*public void setName(String name)*

Sets query name.

10 Parameters:

name - new name to be set to this query object

*public int numberOfResults()*

Gets the number of query results.

Returns:

15 number of query results

### Schema Mapping

A schema mapping represents a mapping between the schema in a datastore with the structure of the data-object that the user wants to process in memory. Schema mapping has been

generally described in U.S. Patent Application Nos. 08/276,382 and 08/276,747, also assigned to IBM.

A federated schema is the conceptual schema of a federated datastore 100, which defines a mapping between the concepts in the federated datastore 100 to concepts expressed in each participating datastore schema. In general, a schema mapping handles the difference between how the data are stored in the datastore (as expressed by the datastore's conceptual schema) and how the user wants to process them in the application program. This mapping can also be extended to incorporate relationship associations among entities in a federated datastore, e.g., associating an employee's name with the appropriate department name. Since the mapping process can be a bit tedious, it is usually done with the help of a typical GUI-oriented schema mapping program.

In addition to schema-mapping information involving the mapping of entities and attributes, a federated datastore 100 must also have access to the following information:

*User-id and password mapping.* To support single sign-on features, each user-id in the federated datastore 100 needs to be mapped to its corresponding user-ids in the native datastores.

*Datastore registration.* Each native datastore needs to be registered so it can be located and logged-on to by the federated datastore 100 processes on behalf of its users.

#### An Architecture to Enable Search Gateways as Part of a Federated Search

An embodiment of the invention provides a search gateway architecture. The search gateway architecture enables search gateways as part of a federated search. In particular, the search gateway architecture enables adding additional search gateways.

The search gateway architecture extends the integrated architecture for federation of heterogeneous datastores to enable search gateways to participate in a federated search. In one embodiment, a search gateway is Domino Extended Search (DES) from Lotus Development Corporation.

The Grand Portal architecture provides a consistent framework for developing client/server application programs for multi-search and update on a single datastore or on multiple heterogeneous



datastores participating in a federation. The datastores can be of the same or different types, and in a mixture of local or client/server configurations. Other federated datastores can also participate in this mixture to form a search tree of datastores. Moreover, several different search-engines, such as text search and image search engines, can be added to this mixture.

5        In the current architecture of Grand Portal for a federated search, a federated datastore can be composed of a combination of several heterogenous datastores, including a second federated datastore, recursively. With the exception of the second federated datastore, each other datastore can be viewed as a "terminal data repository", as these datastores do not have the capability to expand the search to other data repositories. That is, terminal data repositories perform searches only at their datastore.

10        The search gateway architecture extends the Grand Portal system to allow a search gateway (i.e., a search gateway data source), such as Domino Extended Search (DES), to participate in the federation. It is to be understood that the DES search gateway is only one example of a search gateway, and other search gateways may be used. The DES search gateway is a datastore that is defined using a DES datastore class that depends from a base datastore class, from which the classes  
15        for the federated datastore and the terminal data repositories (e.g., native datastores) depend.

         However, the difference between a DES datastore and a regular datastore is that a DES datastore can expand its search to several other data repositories, such as a Lotus Notes Database, a Web Search (i.e., searching the World Wide Web), a file system, and a Relational Database (e.g., DB2, Oracle or ODBC databases). Due to its characteristics, a DES datastore can be viewed as a search  
20        gateway, as opposed to a terminal data repository.

         An advantage of this search gateway architecture is that it extends the Grand Portal architecture to allow a combination of multiple heterogenous "regular" datastores, federated datastores, and search gateways to participate in a federated search. In addition, several different search-engines, such as, text search and image search engines, can be added to the federated search to enrich the combined multi-  
25        search capability of the system.

         Domino Extended Search (DES), is a product from Lotus Development Corporation, designed for searching several data repositories, such as Lotus Notes Database, the Web, a File System, and a Relational Database. Since a DES datastore has the ability to search several different data sources, it is considered to be a search gateway.

30        The search gateway architecture extends the Grand Portal system in several ways.

The Grand Portal class library is extended to include classes to support searching via the DES gateway, either from a client or a server configuration via DKDastoreDES and its related classes. This is considered as a stand-alone search to a DES gateway using the framework established by the Grand Portal architecture. A sample DES query class used for searching will be described below. Moreover, the federal search is extended to include a DES gateway as part of the federation.

FIG. 5 is a diagram of an extended Grand Portal architecture. A Grand Portal client for a federated client datastore 500 is connected to a Grand Portal server for a federated server datastore 502. Another federated client/server system 504 may be connected to the federated server 502. A Grand Portal client/server system for an OnDemand (OD) datastore 506 may be part of the federation. Additionally, a Grand Portal client/server system for a Digital Library/VisualInfo (DL/VI) datastore 508 may be part of the federation. As with any of the datastores discussed herein, a user may access the client or the server directly. Therefore, user applications may reside at either the client or the server.

A Grand Portal client for a DES datastore 510 or a Grand Portal server for a DES datastore 512 may each be connected to the federation. While the DL/VI datastore enables searching a DL/VI Library server and the OD datastore enables searching of an OnDemand datastore, the DES datastore enables searching of multiple other datastores. In particular, the DES datastore enables searching of a Lotus Notes server 514, a Web 516, a file system 518, and a relational database 520.

FIG. 6 is a diagram illustrating individual datastores and federated compositions. In particular, a datastore can be configured as a stand-alone or as part of a federation. Additionally, a federated datastore can be composed of any number of datastores, including other federated datastores. Stand-alone datastores may be accessed directly by a user. The following are example stand-alone datastores in FIG. 6: a Digital Library (DL) datastore 600, an OnDemand datastore 602, a VisualInfo/400 datastore 604, a Domino.Doc datastore 606, or a ImagePlus/390 datastore 608. Additionally, a DES datastore 610 maybe a stand alone in that it is not part of a federated composition. A federated composition 612 may include individual datastores 614 and 616, another federated datastore 618, and a search gateway to a DES datastore 620. In turn, the DES datastore 620 enables searching a Lotus Notes database 622, searching the Web 624, searching a file system 626, or searching a relational database 628 (e.g., DB2, Oracle, or ODBC).

The novelty and uniqueness of the search gateway architecture is in demonstrating that the Grand Portal architecture is rich and robust enough to allow a user to compose a search in the following configurations:

1. Search against a single datastore either from a client or a server configuration.  
5 Depending on the target datastore features, the search gateway architecture may support multi-search involving several different search engines (text and image search) or an update function. In this case, the datastore could be a gateway.
2. Non-federated search against several datastores. Non-federated means that there is no mapping used. The user manages the search to each native datastore and processes the  
10 results according to a specific application to solve a specific problem. In this case, the datastore could be a gateway.
3. Federated search across several datastores, including gateways and other federated datastores
4. A mixture of 2 and 3.
- 15 5. Search in a combination of different platforms (e.g., AIX, NT/Win98) using a variety of languages (e.g., Java, C++, Visual Basic)

An example class definition for a DES datastore (DKDatastoreDES.java) is set forth below. DKDatastoreDES is a specific version of dkDatastore to implement a Lotus Domino Extended Search datastore. DKDatastoreDES provides Documents, Parts and Folders, storage and retrieval mechanisms,  
20 as well as search and other document processing capabilities supported by DES.

DKDatastoreDES.java

```
package com.ibm.mm.sdk.server.DKDatastoreDES
```

```
public class DKDatastoreDES extends dkAbstractDatastore  
    implements DKConstantDES, DKMessageIdDES
```

```
5      {  
    public DKDatastoreDES() throws DKException, Exception  
    public DKDatastoreDES(String configuration) throws DKException, Exception  
    public void connect(String datastore_name,  
                        String userName,  
10         String authentication,  
                        String connect_string) throws DKException, Exception  
    public Object getOption(int option) throws DKException, Exception  
    public void setOption(int option,  
                          Object value) throws DKException, Exception  
15    public Object evaluate(String command, short commandLangType,  
                          DKNVPair params[]) throws DKException, Exception  
    public Object evaluate(dkQuery query) throws DKException, Exception  
    public Object evaluate(DKCQExpr qe) throws DKException, Exception  
    public dkResultSetCursor execute(String command,  
20         short commandLangType,  
                          DKNVPair params[]) throws DKException, Exception  
    public dkResultSetCursor execute(dkQuery query) throws DKException, Exception  
    public dkResultSetCursor execute(DKCQExpr cqe) throws DKException, Exception  
    public void executeWithCallback(dkQuery query,  
25         dkCallback callbackObj) throws DKException, Exception  
    public void executeWithCallback(String command,  
                                    short commandLangType,
```

```

        DKNVPair params[],
        dkCallback callbackObj) throws DKException, Exception
public void executeWithCallback(DKCQExpr qe,
        dkCallback callbackObj) throws DKException, Exception
5 public dkQuery createQuery(String command,
        short commandLangType,
        DKNVPair params[]) throws DKException, Exception
public dkQuery createQuery(DKCQExpr qe) throws DKException, Exception
public void retrieveObject(dkDataObject ddo) throws DKException, Exception
10 public void disconnect() throws DKException, Exception
public boolean isConnected() throws Exception
public String datastoreName() throws Exception
public DKHandle handle(String type) throws Exception
public DKHandle connection() throws Exception
15 public String datastoreType() throws Exception
public String userName() throws Exception
public dkCollection listDataSources() throws DKException
public String[] listDataSourceNames() throws DKException
public dkCollection listEntities() throws DKException, Exception
20 public String[] listEntityNames() throws DKException, Exception
public dkCollection listEntityAttrs(String entityName) throws DKException, Exception
public String[] listEntityAttrNames(String entityName) throws DKException, Exception
public dkDatastoreDef datastoreDef()
public String registerMapping(DKNVPair sourceMap) throws DKException, Exception
25 public void unregisterMapping(String mappingName) throws DKException, Exception
public String[] listMappingNames() throws DKException, Exception
public dkSchemaMapping getMapping(String mappingName) throws DKException,
Exception
public DKDDO createDDO(String objectType,
30 int flags) throws DKException, Exception

```

```

    public synchronized dkExtension getExtension(String extensionName) throws DKException,
        Exception
    public synchronized void addExtension(String extensionName,
        dkExtension extensionObj) throws DKException, Exception
5    public synchronized void removeExtension(String extensionName) throws DKException,
        Exception

    public synchronized String[] listExtensionNames() throws DKException, Exception
    public DKCQExpr translate(DKCQExpr cqe) throws DKException, Exception
    public void addObject(dkDataObject ddo) throws DKException, Exception
10    public void deleteObject(dkDataObject ddo) throws DKException, Exception
    public void updateObject(dkDataObject ddo) throws DKException, Exception
    public void commit() throws DKException, Exception
    public void rollback() throws DKException, Exception
    public Object listSchema() throws DKException, Exception
15    public Object listSchemaAttributes(String schemaEntry) throws DKException, Exception
    public void destroy() throws DKException, Exception
    }

```

The following methods are part of the DES datastore class:

```

20    public DKDatastoreDES() throws DKException, Exception

```

Constructs the datastore and initializes the datastore with the default CC2MIME object.

```

public DKDatastoreDES(String configuration) throws DKException, Exception

```

```

25    Constructs the datastore and initializes the datastore with the DKCC2Mime
    object based on the configuration string

```

Parameters:

configuration - - info about location of the CC2MIME file

```
public void connect(String datastore_name,  
5      String userName,  
      String authentication,  
      String connect_string) throws DKException, Exception
```

Connects to a datastore.

Parameters:

```
10      datastore_name - DES server TCP/IP address  
      userName - the user name used for connection  
      authentication - the password used for connection  
      connect_string - a string that supplies connection parameters to establish  
                      and maintain a connection to the DES server. Valid parameters are:  
15      PORT=value  
                      The port number for the DES server address. This MUST be specified.  
      DESAPPID=value  
                      The Application Id for DES to control access to specific databases.  
                      This MUST be specified.  
20      DESAPPPW=value  
                      The Application Id password. This MUST be specified.
```

Throws: DKException

```
- DKUsageError: if either datastore_name, userName, authentication or  
connect_string is null.  
25 - DKDatastoreError: if connecting to the DES back-end server is not  
successful.
```

Throws: Exception

if an error occurs in the java classes.

Overrides:

connect in class dkAbstractDatastore

*public Object getOption(int option) throws DKException, Exception*

Gets a datastore option.

5

Parameters:

option - the option identifier

Returns:

an option value

Overrides:

10

getOption in class dkAbstractDatastore

*public void setOption(int option,  
Object value) throws DKException, Exception*

Sets a datastore option.

Parameters:

15

option - the option identifier

value - the option value

Overrides:

setOption in class dkAbstractDatastore

*public Object evaluate(String command,  
short commandLangType,  
DKNVPair params[]) throws DKException, Exception*

20

Evaluates the query.



Parameters:

command - a query string

commandLang - a query type

params - additional query option in name/value pair

5

Returns:

a collection of the results

Overrides:

evaluate in class dkAbstractDatastore

*public Object evaluate(dkQuery query) throws DKException, Exception*

10

Evaluates the query.

Parameters:

query - a query object

Returns:

a collection of the results

15

Overrides:

evaluate in class dkAbstractDatastore

*public Object evaluate(DKCQExpr qe) throws DKException, Exception*

Evaluates a query.

Parameters:

20

qe - a common query expression object

Returns:

a collection of the results

Overrides:

evaluate in class dkAbstractDatastore

*public dkResultSetCursor execute(String command,  
short commandLangType,  
DKNVPair params[]) throws DKException, Exception*

Executes a query.

5 Parameters:

command - a query string

commandLang - a query type

params - additional query option in name/value pair

Returns:

10 resultSetCursor which represents a datastore cursor.

Overrides:

execute in class dkAbstractDatastore

*public dkResultSetCursor execute(dkQuery query) throws DKException, Exception*

15 Executes a query.

Parameters:

query - a query object

Returns:

resultSetCursor which represents a datastore cursor.

20 Overrides:

execute in class dkAbstractDatastore

*public dkResultSetCursor execute(DKCQExpr cqe) throws DKException, Exception*

Executes a query in the DKCQExpr.

Parameters:

qe - a common query expression object

Returns:

resultSetCursor which represents a datastore cursor.

5

Overrides:

execute in class dkAbstractDatastore

*public void executeWithCallback(dkQuery query,  
dkCallback callbackObj) throws DKException, Exception*

Executes a query with callback function.

10

Parameters:

qo - a query object

callbackObj - a dkCallback object

Overrides:

executeWithCallback in class dkAbstractDatastore

15

*public void executeWithCallback(String command,  
short commandLangType,  
DKNVPair params[],  
dkCallback callbackObj) throws DKException, Exception*

Executes a query with callback function.

20

Parameters:

command - a query string

commandLang - a query type

params - additional query option in name/value pair

callbackObj - a dkCallback object

Overrides:

executeWithCallback in class dkAbstractDatastore

*public void executeWithCallback(DKCQExpr qe,  
dkCallback callbackObj) throws DKException, Exception*

5 Executes a query with callback function.

Parameters:

qe - a common query expression object

callbackObj - a dkCallback object

Overrides:

10 executeWithCallback in class dkAbstractDatastore

*public dkQuery createQuery(String command,  
short commandLangType,  
DKNVPair params[]) throws DKException, Exception*

Creates a query object.

15 Parameters:

command - a query string

commandLang - a query type

params - additional query option in name/value pair

Returns:

20 a query object

Overrides:

createQuery in class dkAbstractDatastore

*public dkQuery createQuery(DKCQExpr qe) throws DKException, Exception*

Creates a query object.

Parameters:

qe - a common query expression object

Overrides:

5           createQuery in class dkAbstractDatastore

*public void retrieveObject(dkDataObject ddo) throws DKException, Exception*

Retrieves the data-object from this datastore.

Parameters:

ddo - the data-object to be retrieved from this datastore

10

Overrides:

retrieveObject in class dkAbstractDatastore

See Also:

retrieve

disconnect

15   *public void disconnect() throws DKException, Exception*

Disconnects from a datastore.

Overrides:

isconnect in class dkAbstractDatastore

*public boolean isConnected() throws Exception*

Checks to see if the datastore is connected.

Returns:

5                   true if connected

Overrides:

isConnected in class dkAbstractDatastore

*public String datastoreName() throws Exception*

Gets the name of this datastore object. Usually it represents a datastore source's server name.

10

Returns:

datastore name

Overrides:

datastoreName in class dkAbstractDatastore

15   *public DKHandle handle(String type) throws Exception*

Gets either the DES session handle or the broker handle based on type.

Parameters:

type - of handle - session or broker

20

Returns:

session or broker handle

Overrides:

handle in class dkAbstractDatastore

*public DKHandle connection() throws Exception*

Gets the connection handle for a datastore.

Returns:

session handle

Overrides:

5 connection in class dkAbstractDatastore

*public String datastoreType() throws Exception*

Gets the datastore type for this datastore object.

Returns:

datastore type

10

Overrides:

datastoreType in class dkAbstractDatastore

*public String userName() throws Exception*

Gets the user name for this datastore object.

Returns:

15

user name

Overrides:

userName in class dkAbstractDatastore

*public dkCollection listDataSources() throws DKException*

Lists the available datastore sources that a user can connect to.

20

Returns:

a collection of server defs

Throws: `DKException`

if internal error occurs from server

Overrides:

5            `listDataSources` in class `dkAbstractDatastore`

*`public String[] listDataSourceNames() throws DKException`*

Gets a list of datasource names.

Returns:

an array of datasource names

10          Throws: `DKException`

if error occurs when retrieving datasource names

Overrides:

`listDataSourceNames` in class `dkAbstractDatastore`

*`public dkCollection listEntities() throws DKException, Exception`*

15            Gets a list of entities from persistent datastore.

Returns:

a collection of entity defs

Throws: `DKException`

20            if error occurs

Overrides:

`listEntities` in class `dkAbstractDatastore`



*public String[] listEntityNames() throws DKException, Exception*

Gets a list of entity names from persistent datastore.

Returns:

an array of entity names

5 Throws: DKException

if error occurs

Overrides:

listEntityNames in class dkAbstractDatastore

*public dkCollection listEntityAttrs(String entityName) throws DKException, Exception*

10 Gets a list of attributes for a given entity name.

Parameters:

entityName - name of entity to retrieve attributes for

Returns:

a dkCollection of dkAttrDef objects

15 Throws: DKException

if the entity name does not exist

Overrides:

listEntityAttrs in class dkAbstractDatastore

*public String[] listEntityAttrNames(String entityName) throws DKException, Exception*

20 Gets a list of attribute names for a given entity name.

Parameters:

entityName - name of entity to retrieve attribute names for

Returns:

an array of attribute names

5 Throws: DKException

if the entity name does not exist

Overrides:

listEntityAttrNames in class dkAbstractDatastore

*public dkDatastoreDef datastoreDef()*

10 Gets datastore definition.

Returns:

the meta-data (dkDatastoreDef) of this datastore

Overrides:

datastoreDef in class dkAbstractDatastore

15 *public String registerMapping(DKNVPair sourceMap) throws DKException, Exception*

Registers a mapping definition to this datastore. Mapping is done by entities.

Parameters:

sourceMap - source name and mapping, a DKNVPair class with the following possible values:

20 ("BUFFER", ) : buffer\_ref is a reference to a string in memory

("FILE", ) : file\_name is the name of the file containing the mapping

("URL", ) : URL-address location of the mapping

("LDAP", ) : LDAP file-name

("SCHEMA", ) : a reference to a dkSchemaMapping object defining the

mapping. Currently, only "SCHEMA" option is supported, others may be added later.

Returns:

the name of the mapping definition.

5

Overrides:

registerMapping in class dkAbstractDatastore

See Also:

unRegisterMapping

10 *public void unRegisterMapping(String mappingName) throws DKException, Exception*

Unregisters mapping information from this datastore.

Parameters:

mappingName - name of the mapping information

Overrides:

15

unRegisterMapping in class dkAbstractDatastore

See Also:

registerMapping

*public String[] listMappingNames() throws DKException, Exception*

Gets the list of the registered mappings from this datastore.

20

Returns:

an array of registered mapping objects' names

Overrides:

listMappingNames in class dkAbstractDatastore

See Also:

registerMapping

5 *public dkSchemaMapping getMapping(String mappingName) throws DKException, Exception*

Gets mapping information from this datastore.

Parameters:

mappingName - name of the mapping information

Returns:

10 the schema mapping object

Overrides:

getMapping in class dkAbstractDatastore

See Also:

registerMapping

15 *public DKDDO createDDO(String objectType,  
int Flags) throws DKException, Exception*

Creates a new DDO with a basic pid for DES.

Parameters:

objectType - the object type you want to create

20 Flags - not used for DES

Returns:

a new DDO of the given object type

Overrides:

createDDO in class dkAbstractDatastore

*public synchronized dkExtension getExtension(String extensionName) throws DKException, Exception*

Gets the extension object from a given extension name.

Parameters:

5                    extensionName - name of the extension object.

Returns:

                  extension object.

Overrides:

                  getExtension in class dkAbstractDatastore

10    *public synchronized void addExtension(String extensionName,  
                  dkExtension extensionObj) throws DKException, Exception*

Adds a new extension object.

Parameters:

                  extensionName - name of new extension object

15                    extensionObj - the extension object to be set

Overrides:

                  addExtension in class dkAbstractDatastore

*public synchronized void removeExtension(String extensionName) throws DKException, Exception*

Removes an existing extension object.

20                    Parameters:

extensionName - name of extension object to be removed

Overrides:

removeExtension in class dkAbstractDatastore

*public synchronized String[] listExtensionNames() throws DKException, Exception*

5 Gets the list of extension objects' names.

Returns:

an array of extension objects' names

Overrides:

listExtensionNames in class dkAbstractDatastore

10 *public DKCQExpr translate(DKCQExpr cqe) throws DKException, Exception*

Translates a query expression into a native query expression processable by this datastore.

Parameters:

cqe - the input compound query expression

15 Returns:

a translated query expression or null if the expression is invalid

*public void addObject(dkDataObject ddo) throws DKException, Exception*

Adds object.

Overrides:

20 addObject in class dkAbstractDatastore

*public void deleteObject(dkDataObject ddo) throws DKException, Exception*

Deletes object.

Overrides:

deleteObject in class dkAbstractDatastore

5 *public void updateObject(dkDataObject ddo) throws DKException, Exception*

Updates object.

Overrides:

updateObject in class dkAbstractDatastore

*public void commit() throws DKException, Exception*

10

Commits.

Overrides:

commit in class dkAbstractDatastore

*public void rollback() throws DKException, Exception*

15

Rolls back.

Overrides:

rollback in class dkAbstractDatastore

*public Object listSchema() throws DKException, Exception*

Lists schemas.

Overrides:

listSchema in class dkAbstractDatastore

*public Object listSchemaAttributes(String schemaEntry) throws DKException, Exception*

5 Lists schema attributes.

Overrides:

listSchemaAttributes in class dkAbstractDatastore

*public void destroy() throws DKException, Exception*

datastore destroy - datastore cleanup if needed

10 Destroys.

Overrides:

destroy in class dkAbstractDatastore

An example class definition for a DES query (DKDESQuery.java) is set forth below.

DKDESQuery.java

15 package com.ibm.mm.sdk.common.DKDESQuery

public class DKDESQuery extends Object

implements dkQuery, DKConstantDES, DKMessageId, Serializable

{



```

public DKDESQuery(dkDatastore creator,
                  String queryString)
public DKDESQuery(dkDatastore creator,
                  DKCQExpr queryExpr)
5 public DKDESQuery(DKDESQuery fromQuery)
public void prepare(DKNVPair params[]) throws DKException, Exception
public void execute(DKNVPair params[]) throws DKException, Exception
public int status()
public Object result() throws DKException, Exception
10 public dkResultSetCursor resultSetCursor() throws DKException, Exception
public short qlType()
public String queryString()
public dkDatastore getDatastore()
public void setDatastore(dkDatastore ds) throws DKException, Exception
15 public String getName()
public void setName(String name)
public int numberOfResults()
}

```

The following methods are part of the DES query class:

```

20 public DKDESQuery(dkDatastore creator,
                   String queryString)

```

Constructs a parametric GQL query.

Parameters:

creator - datastore

```

25 queryString - a query string

```

*public DKDESQuery(dkDatastore creator,  
DKCQExpr queryExpr)*

Constructs a parametric query.

Parameters:

- 5                   creator - datastore  
                  queryExpr - a query expression

*public DKDESQuery(DKDESQuery fromQuery)*

Constructs a parametric query from a parametric query object.

Parameters:

- 10                   fromQuery - parametric query

*public void prepare(DKNVPair params[]) throws DKException, Exception*

Prepares the query.

Parameters:

- 15                   params - additional prepare query option in name/value pair

*public void execute(DKNVPair params[]) throws DKException, Exception*

Executes the query.

Parameters:

- params - additional query option in name/value pair

*public int status()*

Gets query status.

Returns:

query status

5    *public Object result() throws DKException, Exception*

Gets query result.

Returns:

query result in a DKResults object

*public dkResultSetCursor resultSetCursor() throws DKException, Exception*

10       Gets query result.

Returns:

query result in a dkResultSetCursor object

*public short qlType()*

Gets query type.

15       Returns:

query type

*public String queryString()*

Get query string.

Returns:

query string

*public dkDatastore getDatastore()*

5 Gets the reference to the owner datastore object.

Returns:

the dkDatastore object

*public void setDatastore(dkDatastore ds) throws DKException, Exception*

Sets the reference to the owner datastore object.

10 Parameters:

ds - a datastore

*public String getName()*

Gets query name.

15 Returns:

name of this query

*public void setName(String name)*

Sets query name.

Parameters:

name - new name to be set to this query object

*public int numberOfResults()*

Gets the number of query results.

5 Returns:

number of query results

A search gateway query is used to access a search gateway data source. One example of a search gateway query is a DES query string. The following is sample syntax of a DES query string. However, it is to be understood that other syntax (including other parameters) may be used

10 for the DES query string without departing from the scope of the invention.

SEARCH= (DATABASE= (db\_name | db\_name\_list | ALL);

COND= (GQL expression) );

[OPTION= ( (SEARCHABLE\_FIELD= (fd\_name, ...) );]

[RETRIEVALBE\_FIELD= (fd\_name, ...) ;]

15 [MAX\_RESULTS=maximum\_results;]

[TIME\_LIMIT=time] )]

The parameter db\_name\_list is a list of database names (i.e., db\_name) separated by commas. The term ALL indicates that all of the available databases are to be searched. In one embodiment, the default time limit for a search is 30 seconds.

20 GQL or Generalized Query Language is the native query language for expressing queries against DES.

An example of a GQL expression for searching documents which have their "view" field containing the exact string "How Do I?" is as follows:

(EQ "view" "How Do I?")

A sample program illustrating a direct search to a DES datastore is as follows:

#### Java Sample - DES Datastore

```
DKDatastoreDES dsDES = new DKDatastoreDES();
5   dkdResultsctCursor pCur = null;
    DKNVPair parms[] = null;
    dsDES.connect(libSrv,userid,pw,connect_string);
    String cmd = "SEARCH=(DATABASE=(Notes Help);"+
10   "COND=(EQ\"View\" \"How Do I?\");"
    "OPTION=(MAX_RESULTS=5)":
    DKDDO ddo = null;
    pCur = dsDES.execute(cmd,DK_DES_GQL_QL_TYPE,parms);

    ...
15
    pCur.destroy(); // Finished with the cursor
    dsDES.disconnect();
```

20 The query results are returned in the form of a result set cursor for DES. This result set cursor for DES is a subclass of dkResultSetCursor, which is the superclass of other result set cursors. The implementation of result set cursor for DES can be similar to the federated result set cursor, in which the results are grouped by each back-end source, i.e., Lotus Notes, Web Search, etc., or when such a grouping is not required, the results can be lumped together without distinguishing the source.

25 Thus, the federated datastore, each terminal data repository, and the DES datastore are data objects based on classes that are based on a single base class. Once the federated datastore, each terminal data repository, and the DES datastore are instantiated as data objects, they interact

with each other via methods of the classes. Additionally, once the federated datastore receives a query in the syntax of the federated datastore query, the federated datastore may submit a query to the DES datastore in the federated query canonical form.

- 5 The format of the results from DES depends on the type of the back-end data repository searched. The following examples illustrate a direct query and the resulting data format returned from Lotus Notes database, file system, relational database, and web search, respectively.

Example 1: direct query and search results from Lotus Notes database

```
10 query string : SEARCH=(DATABASE=(Notes Help);
      COND= ((AND (GT "Doc_Number""2.0" (EQ "Indent" "2.0"))));
      OPTION= (MAX_RESULTS=2; TIME_LIMIT=10);

      attribute name : DocRank value : 85
15 attribute name : DocLNUiversalID value: 006BE1F29E612FOC852564D2000FD785
      attribute name : DocNotesWebHostName value: ross.stl.ibm.com
      attribute name : DocNotesWebViewName value: FIND
      attribute name : DocLNServer value: ross.stl.ibm.com/Stl
      attribute name : DocLNPath value : help4.nsf
20 attribute name : DocLinkType value : Notes
      attribute name : Subject value: Deleting several columns or rows from a table
      attribute name : TopicType value: Steps
      attribute name : View value : How Do I?
      attribute name : Indent value : 2
25 attribute name : Doc_Number value : 4.265
```

Example 2: direct query and search results from file system

query string: SEARCH= (DATABASE= (DES files); COND= ((IN "DocSFileName"  
"a"))));

attribute name : DocRank value : 100

attribute name : DocLinkType value: FileSearch

5 attribute name : DocFile name value: starwars.lwp

attribute name : DocPath value: d:\desdoc\

attribute name : DocDate value: 6/25/1999 0:0:0

attribute name : DocSize value: 977676

attribute name : DocScontent value:

10 XDO pid database name DES files

XDO pid docId d:\desdoc\starwars.lwp

XDO mimetype text/html

attribute name : DocSFileName value: starwars.lwp

### Example 3: direct query and search results from relational database

15 query string: SEARCH= (DATABASE= (EMPLOYEE: ;COND= ((IN "Job"  
"Manager"))));

OPTION= (MAX\_RESULTS=2; TIME\_LIMIT=10);

attribute name : DocRank value : 55

attribute name : DocLinkType value : NotesPumpSQL

20 attribute name : EMPNO value : 000030

attribute name : FIRSTNME VALUE : SALLY

attribute name : MIDINIT value : A

attribute name : LASTNAME value : KWAN

attribute name : WORKDEPT value : C01

25 attribute name : PHONENO value : 4738

attribute name : HIREDATE value : 4/5/1975 0:0:0

attribute name : JOB value : MANAGER



attribute name : EDLEVEL value : 20

attribute name : SEX value : F

attribute name : BIRTHDATE value : 5/11/1941 0:0:0

Example 4: direct query and search results from web search

5 query string : SEARCH= (DATABASE=(HotBot - Web); COND= ((AND "clinton"  
"internet"));

OPTION=(MAX\_RESULTS=2; TIME\_LIMIT=30))

attribute name : DocRank value : 99

attribute name : DocLinkType value : WebSearchEngine

10 attribute name : WebTitle value : Clinton says 'No' to Internet Taxes

attribute name : WebURL value:

http://www.hotbot.com/director.asp?target=http%3A%2F

%2Fwww%2Eobservations\$2Eorg%2Farticles%2FA

%5Fnotaxonet%2Ehtml&id=2&userid=3gFNDe5t0HA7&query=MT=

15 %28clinton+AND+internet+%29&SM=B&LG=english&DC=100%rsource=Ink

attribute name : WebDescription value :

Article describing Clinton's decision on Internet Taxes

FIG. 7 is a flow diagram illustrating one use of a search gateway architecture. In block 700, the federated datastore receives a query from a user or an application program. In block 702, the federated datastore submits the query to each terminal data repository and to the DES datastore. In block 704, each terminal data repository retrieves data from that data source. In block 706, the DES datastore retrieves data from one or more data sources (e.g., the Web or a file system). The result is a union of results from DES back-end datastores. It can be grouped by each back-end or un-grouped. In block 708, the federated datastore receives the results of a query from each terminal data repository and from the DES datastore. In block 710, the federated datastore returns the results of the query to a user or an application program.

The integration of a federated datastore with an extended search system unifies and enriches the client/middle server environments for consolidated and Web-enabled access across combined federation targets of distributed and heterogeneous datastores. In addition to browsers or Java clients accessing the federated datastore, other applications, such as a Notes client, may be used to access the federated datastore. Content management multimedia content servers provide additional capabilities to the Notes client. The integration of a federated datastore and an extended search system combines the power and benefits of both.

The integration of a federated datastore with an extended search system adds a native Notes client development environment over the federated search mechanisms. Additional federation targets (e.g., for Notes, Web search engines, file systems, RDB/ODBC) are added to the federated datastore. Also, additional federation targets (e.g., VisualInfo/Digital Library, OnDemand, and ImagePlus; and, multimedia servers such as TextMiner, QBIC, and DB2 VideoCharger) are added to the Notes application. The integration harmonizes client/middle server environments of the federated datastore and the Notes client application with a common object oriented model and application programming interface and common system administration.

### Conclusion

This concludes the description of the preferred embodiment of the invention. The following describes some alternative embodiments for accomplishing the present invention. For example, any type of computer, such as a mainframe, minicomputer, personal computer, mobile device, or embedded system, or computer configuration, such as a timesharing mainframe, local area network, or standalone personal computer, could be used with the techniques of the present invention.

The foregoing description of the preferred embodiment of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. It is intended that the scope of the invention be limited not by this detailed description, but rather by the claims appended hereto.



## WHAT IS CLAIMED IS:

1           1.       A method of searching for data in one or more heterogeneous data sources within a  
2 computer system, the method comprising the steps of:  
3           receiving a request for data at a federated data source; and  
4           from the federated data source, retrieving data from one or more of one or more terminal data  
5 repositories or one or more search gateway data sources.

1           2.       The method of claim 1, wherein each search gateway data source searches for data in  
2 one or more other data sources.

1           3.       The method of claim 1, wherein the federated data source, each terminal data  
2 repository, and each search gateway data source is a data object.

1           4.       The method of claim 3, wherein each data object is based on a class that inherits the  
2 properties of a base data source class.

1           5.       The method of claim 4, wherein each data object is manipulated via methods of the  
2 class on which the data object is based.

1           6.       The method of claim 1, wherein retrieving data from one or more search gateway data  
2 sources comprises submitting an search gateway query from the federated data source to each search  
3 gateway data source.

1           7.       The method of claim 1, wherein each terminal data repository and each search gateway  
2 data source may be queried for data directly.

1           8.       An apparatus for searching for data in one or more heterogeneous data sources,  
2                    comprising:  
3           a computer system containing one or more heterogeneous data sources; and  
              one or more computer programs, performed by the computer system, for receiving a request  
for data at a federated data source and, from the federated data source, retrieving data from one or more  
of one or more terminal data repositories or one or more search gateway data sources.

1           9.       The apparatus of claim 8, wherein each search gateway data source searches for data  
2       in one or more other data sources.

1           10.      The apparatus of claim 8, wherein the federated data source, each terminal data  
2       repository, and each search gateway data source is a data object.

1           11.      The apparatus of claim 10, wherein each data object is based on a class that inherits the  
2       properties of a base data source class.

1           12.      The apparatus of claim 11, wherein each data object is manipulated via methods of the  
2       class on which the data object is based.

1           13.      The apparatus of claim 8, wherein retrieving data from one or more search gateway  
2       data sources comprises submitting an search gateway query from the federated data source to each  
3       search gateway data source.

1           14.      The apparatus of claim 8, wherein each terminal data repository and each search  
2       gateway data source may be queried for data directly.

1           15.     An article of manufacture comprising a program storage medium readable by a  
2 computer and embodying one or more instructions executable by the computer to perform method steps  
3 for searching for data in one or more heterogeneous data sources within a computer system, the  
4 method comprising the steps of:

5                 receiving a request for data at a federated data source; and  
6                 from the federated data source, retrieving data from one or more of one or more terminal data  
7 repositories or one or more search gateway data sources.

1           16.     The article of manufacture of claim 15, wherein each search gateway data source  
2 searches for data in one or more other data sources.

1           17.     The article of manufacture of claim 15, wherein the federated data source, each terminal  
2 data repository, and each search gateway data source is a data object.

1           18.     The article of manufacture of claim 17, wherein each data object is based on a class that  
2 inherits the properties of a base data source class.

1           19.     The article of manufacture of claim 18, wherein each data object is manipulated via  
2 methods of the class on which the data object is based.

1           20.     The article of manufacture of claim 15, wherein retrieving data from one or more  
2 search gateway data sources comprises submitting an search gateway query from the federated data  
3 source to each search gateway data source.

1           21.     The article of manufacture of claim 15, wherein each terminal data repository and each  
2 search gateway data source may be queried for data directly.

# ARCHITECTURE TO ENABLE SEARCH GATEWAYS AS PART OF FEDERATED SEARCH

## ABSTRACT

An architecture to enable search gateways as part of a federated search supports searching for data in one or more heterogeneous data sources. The one or more heterogeneous data sources are within a computer system. Initially, a request for data is received at a federated data source. From the federated data source, data is retrieved from one or more of one or more terminal data repositories or  
5 one or more search gateway data sources.

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P7043159

FIG. 1

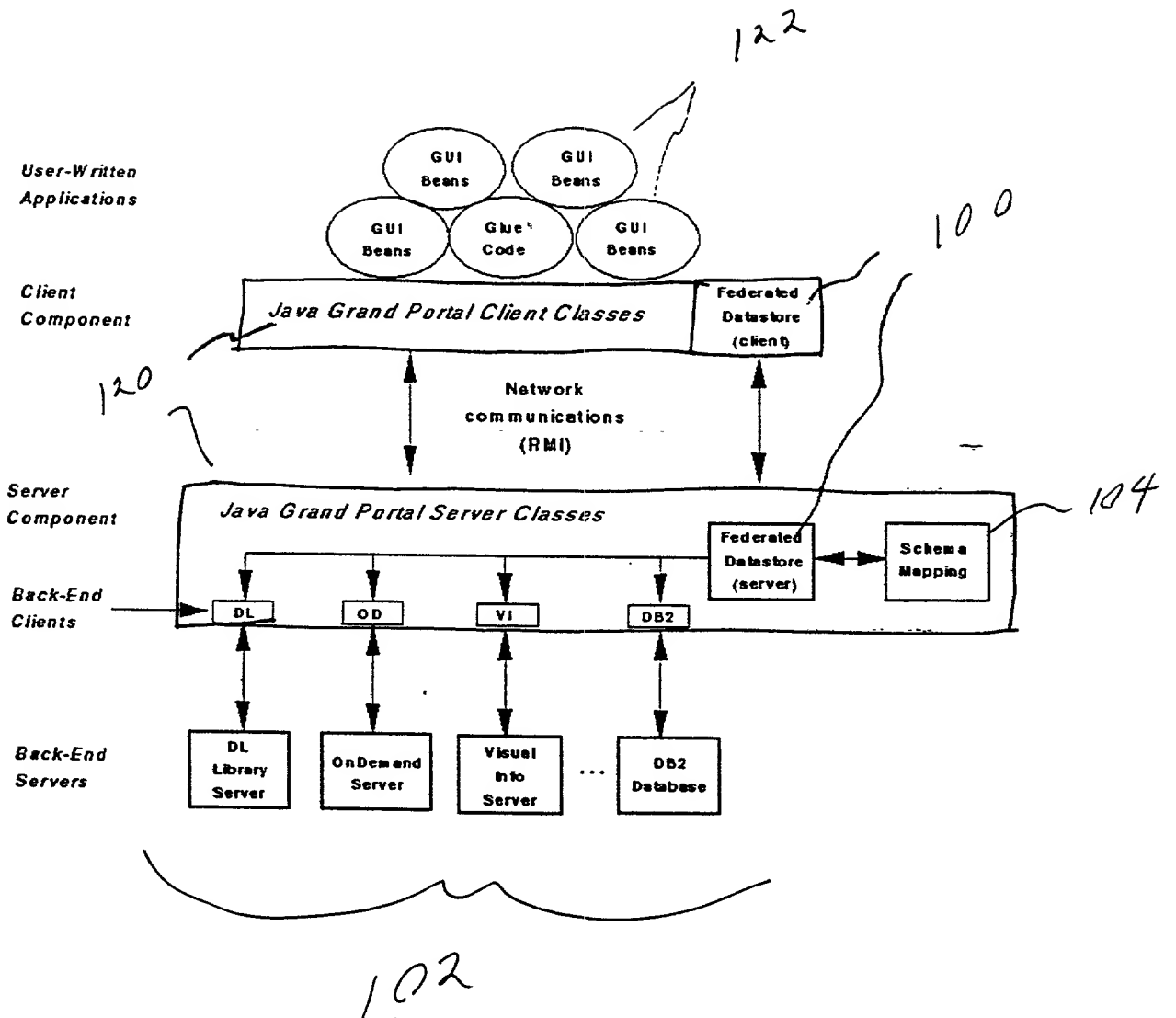




FIG. 2

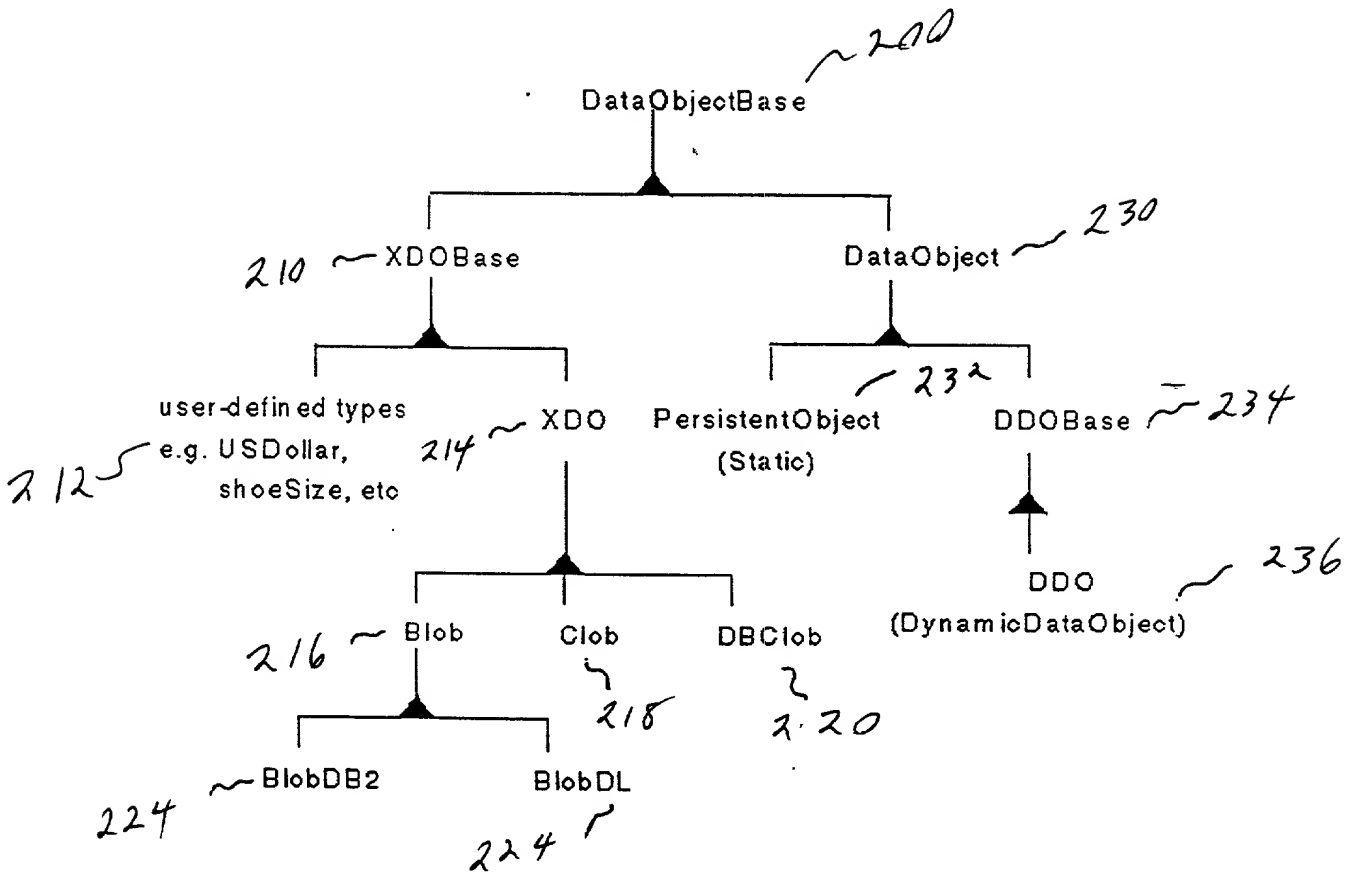


FIG. 3

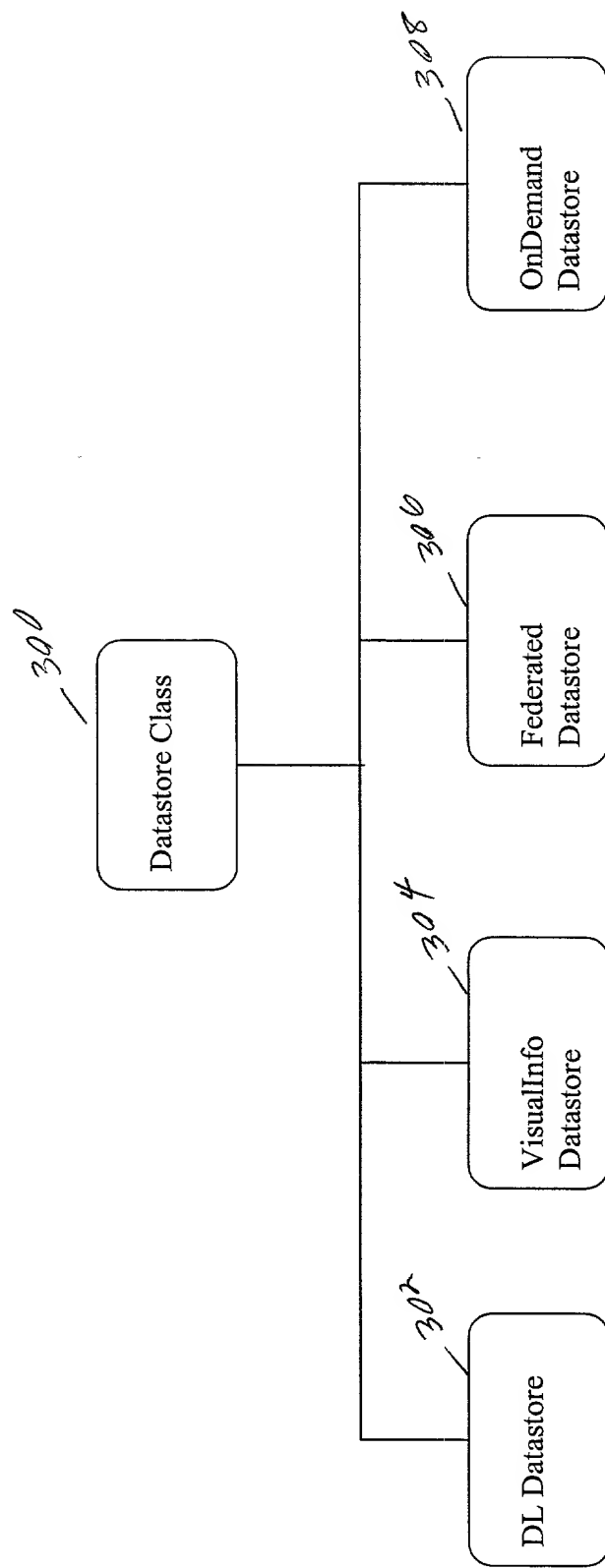
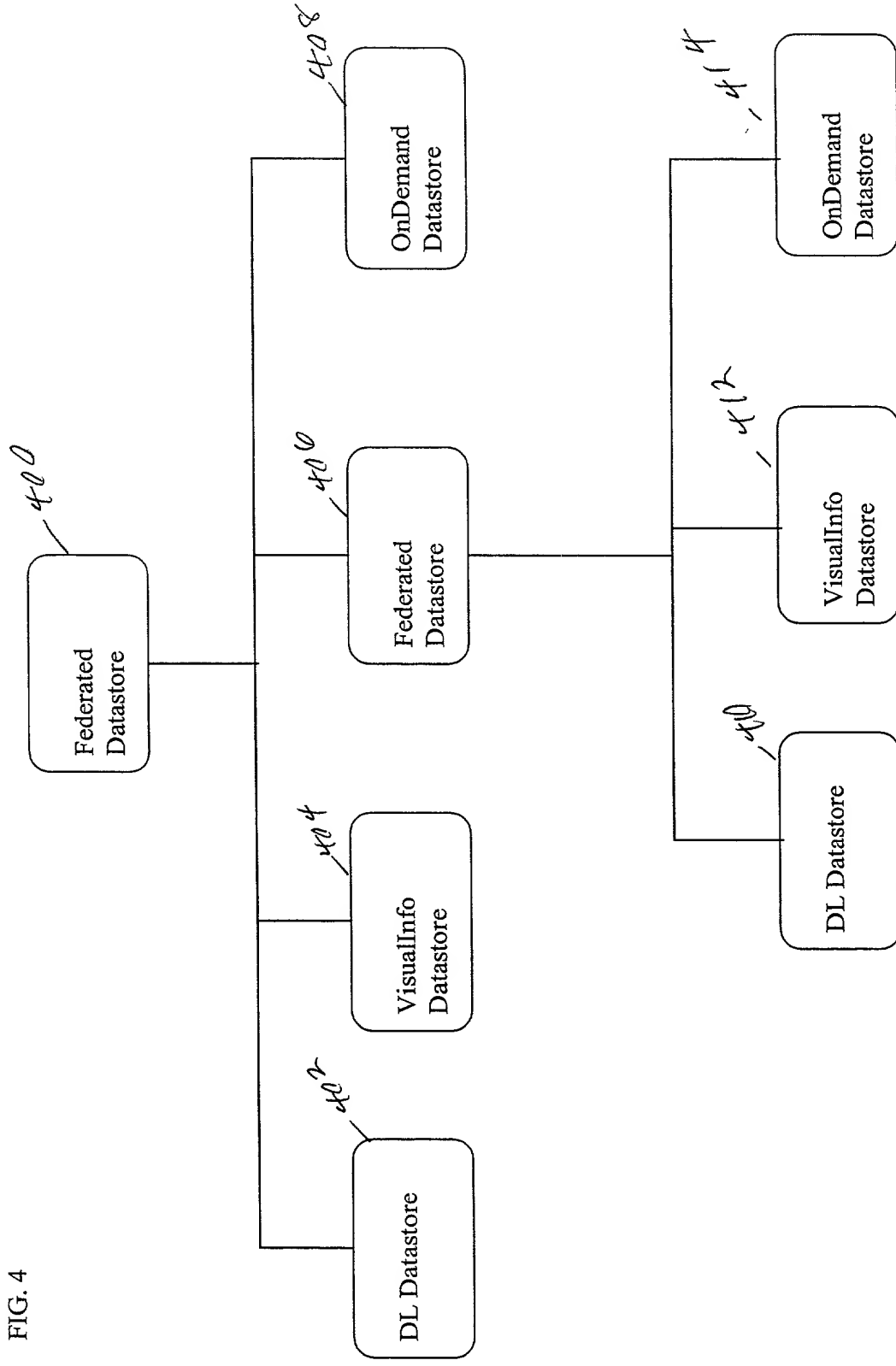
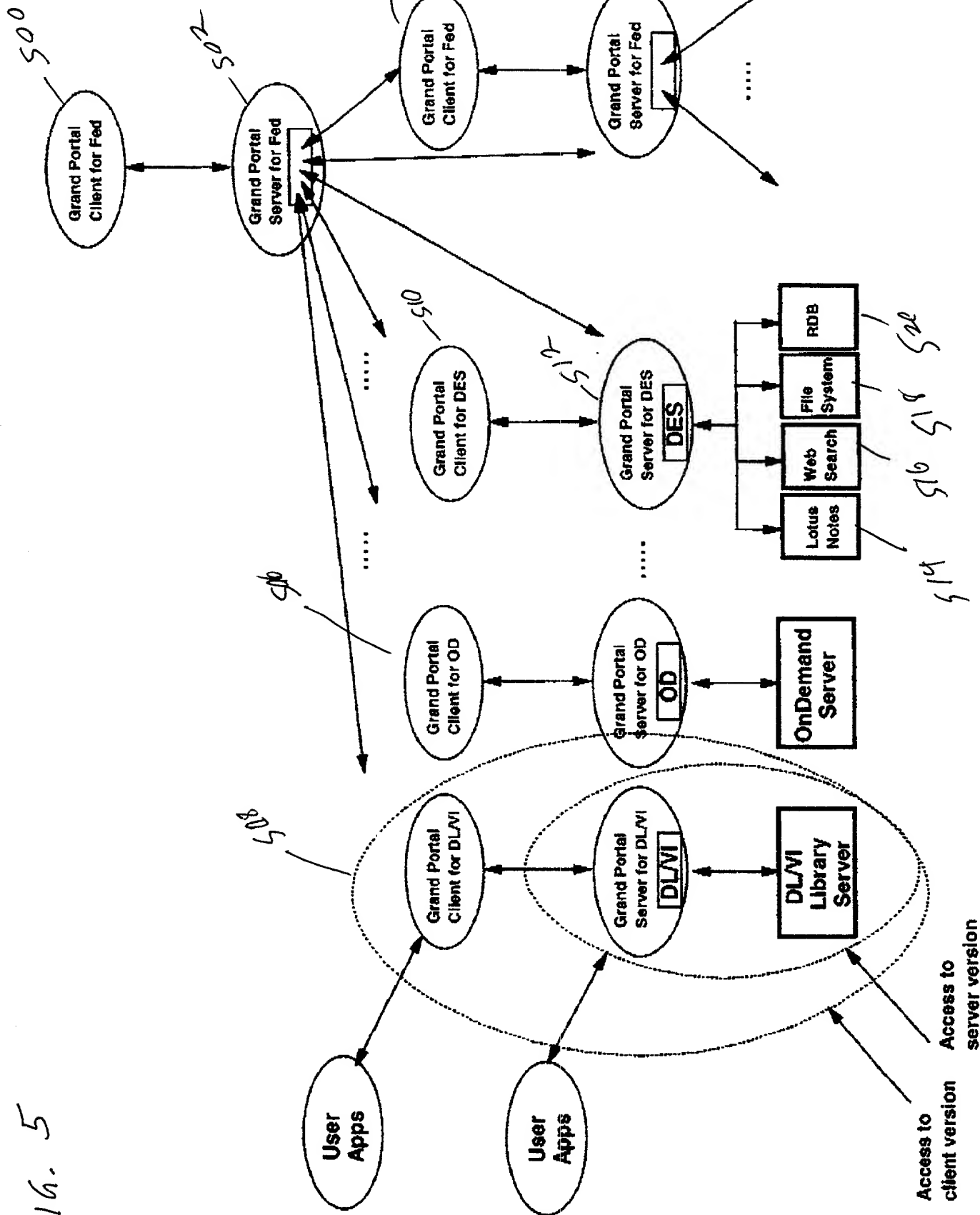


FIG. 4





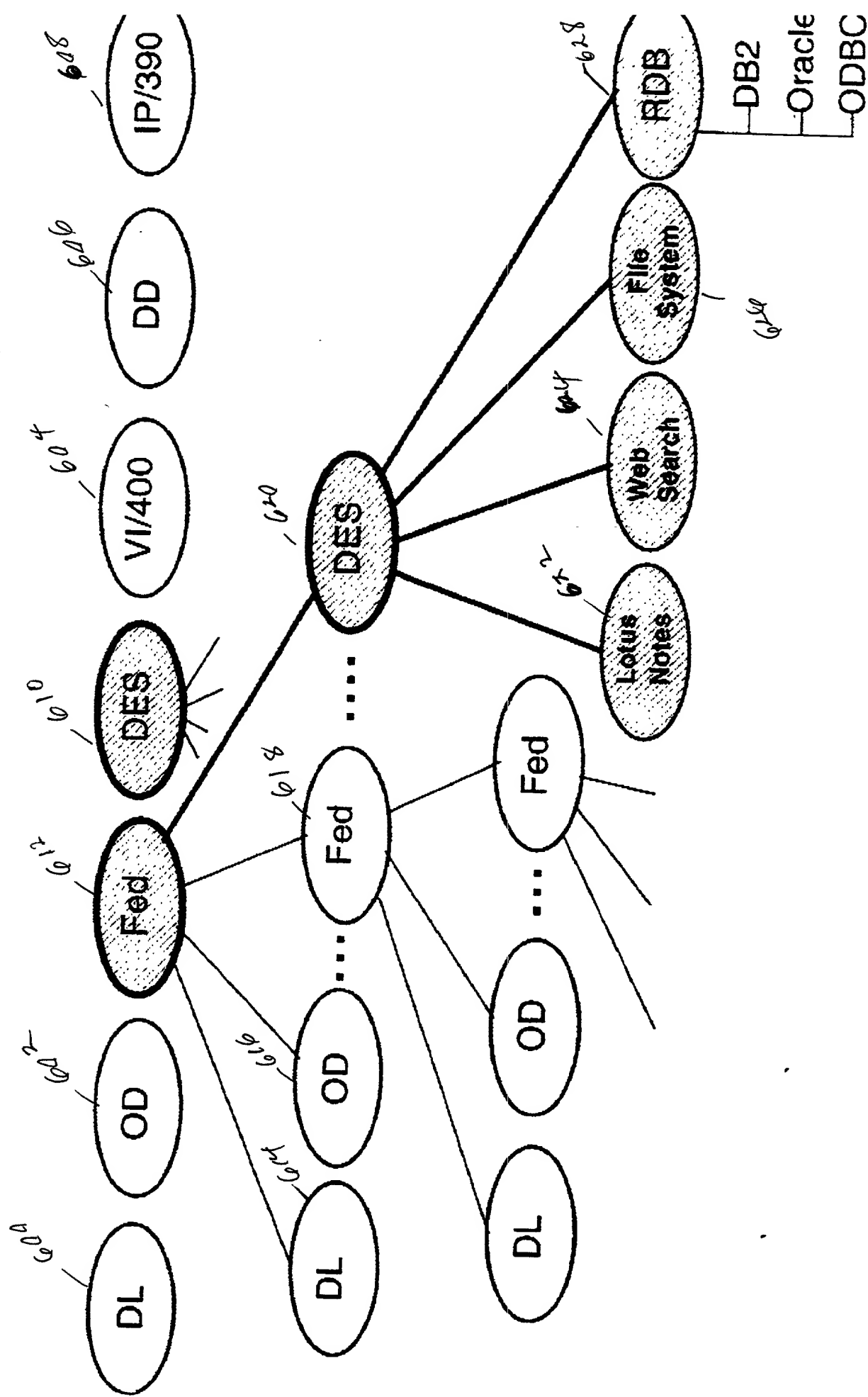
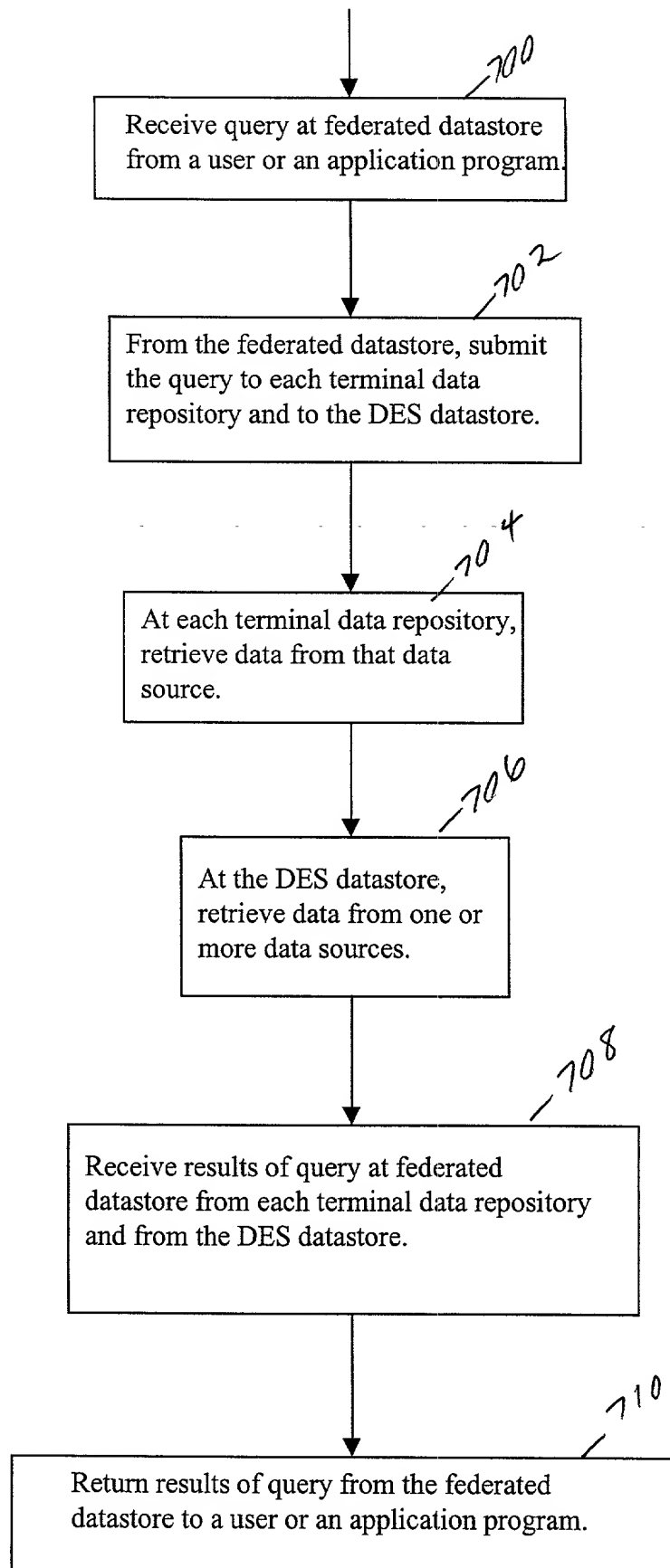


FIG. 7



## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ARCHITECTURE TO ENABLE SEARCH GATEWAYS AS PART OF FEDERATED SEARCH

the specification of which (check one)

X is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_

as Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
<u>None</u>	_____	_____	<u>Yes</u> <u>No</u>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>None</u>	_____	_____
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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